

## A New Method to Assess Benefit/Risk, with Examples in Oncology



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## Everardo Saad

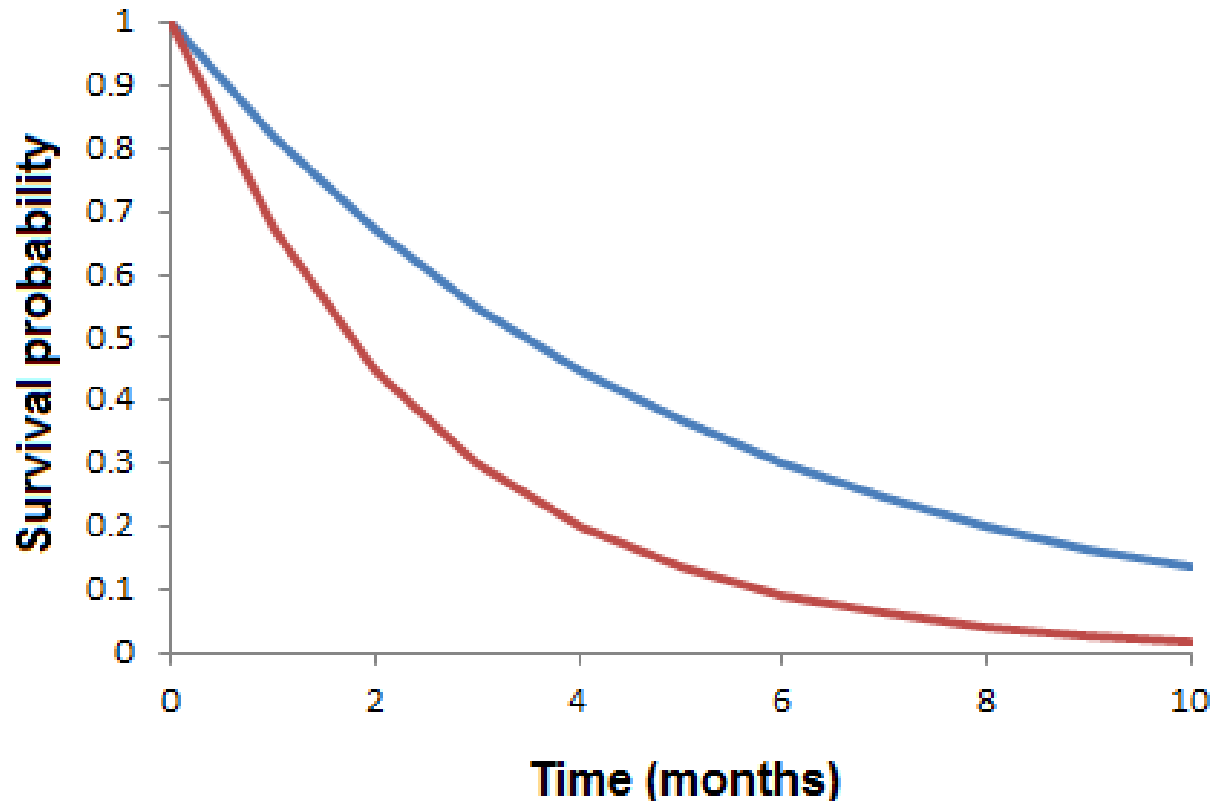
- Overview of measures of treatment benefit
- Current attempts to individualize decision-making



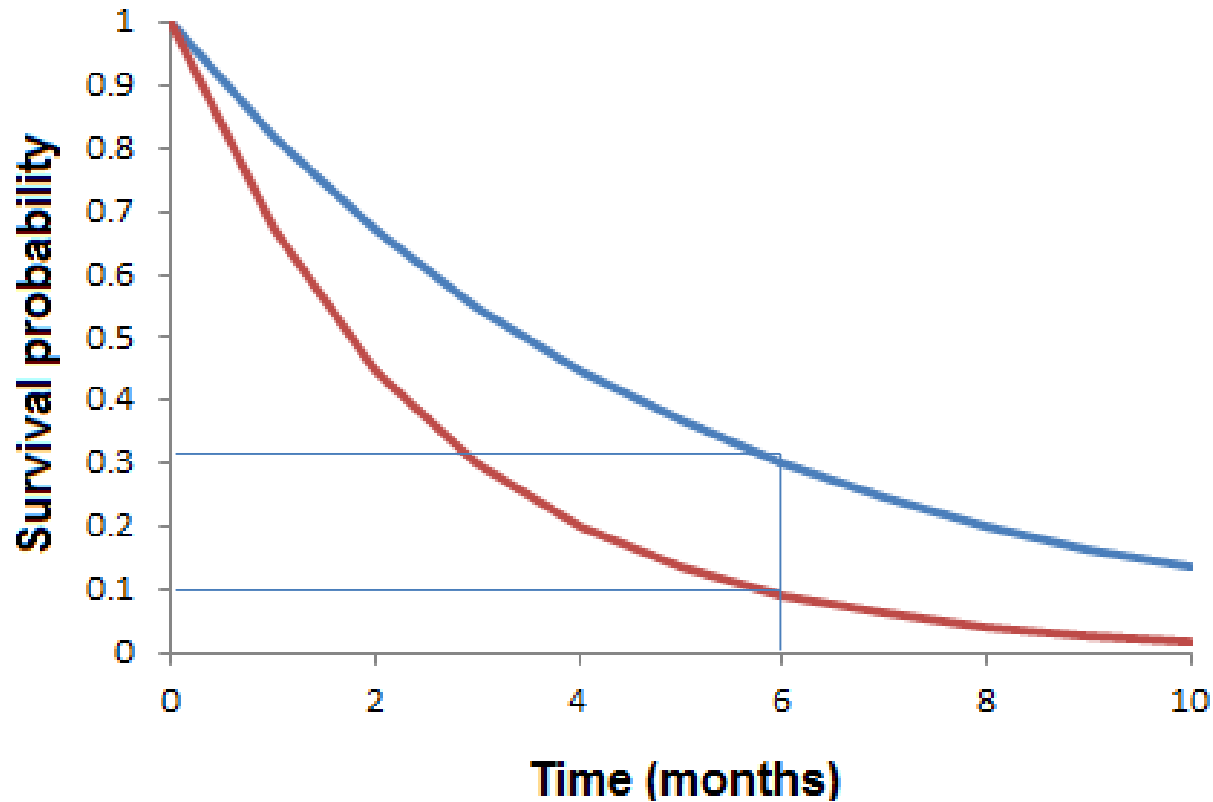
## Marc Buyse

- Generalized pairwise comparisons (GPC)
- Prioritising outcomes, with examples in oncology
- GPC in the setting of non-proportional hazards

# Comparing survival

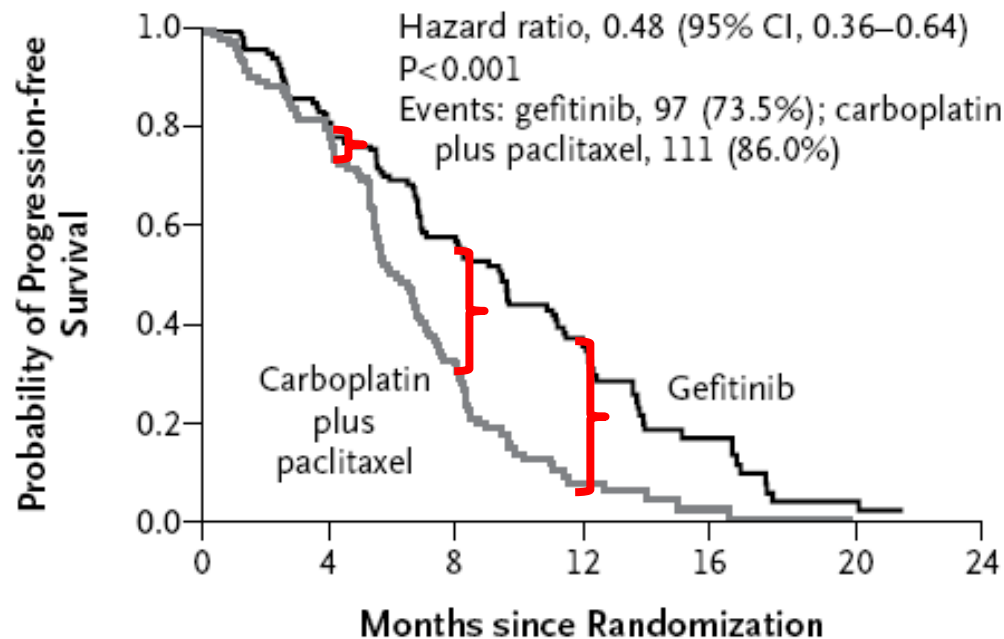


# Survival probability at $t$



# Problems

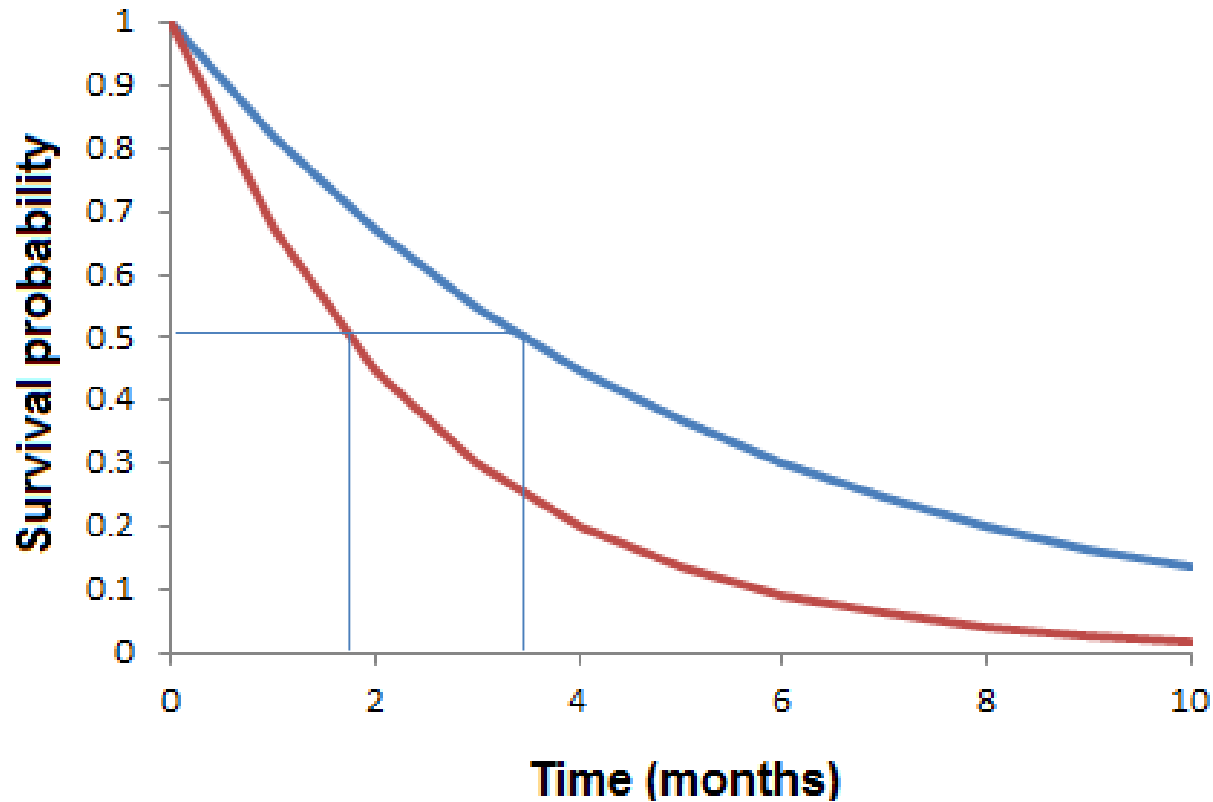
## B EGFR-Mutation-Positive



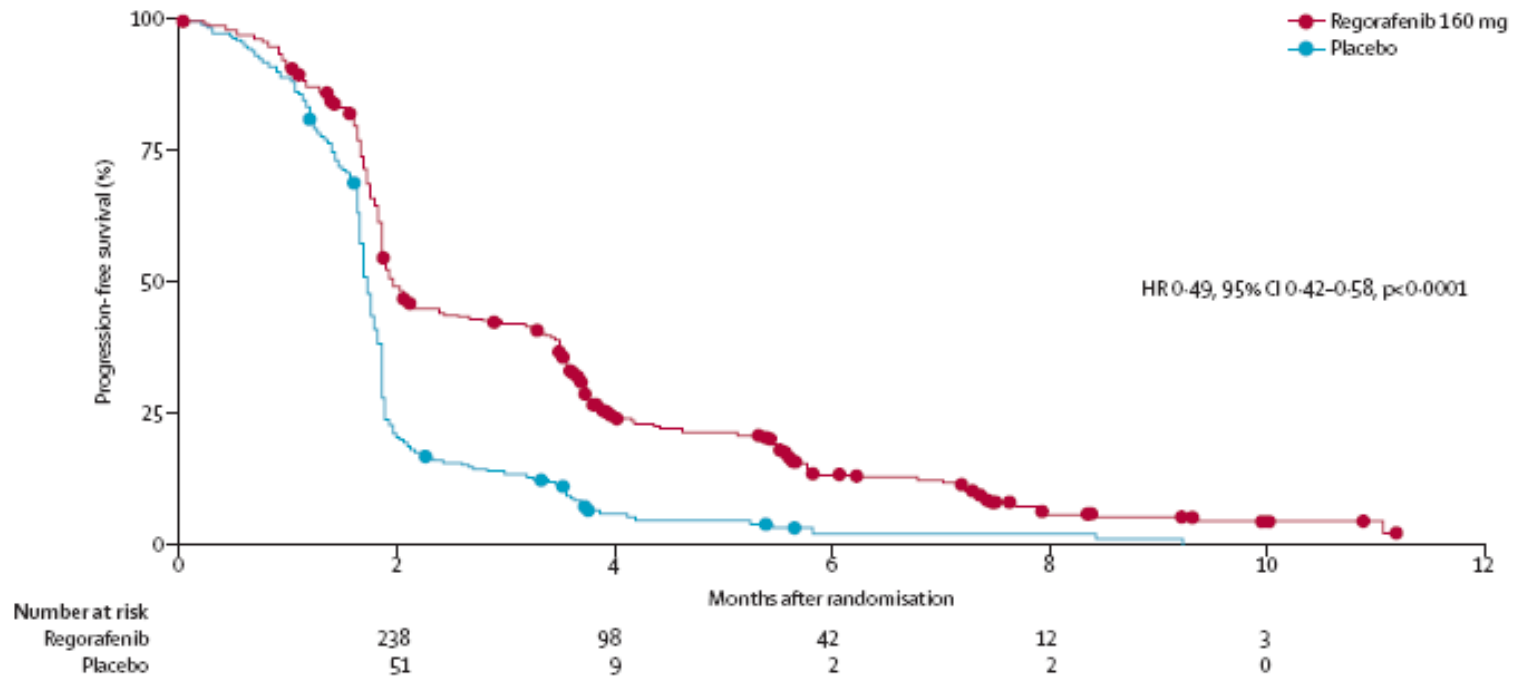
### No. at Risk

Gefitinib	132	108	71	31	11	3	0
Carboplatin plus paclitaxel	129	103	37	7	2	1	0

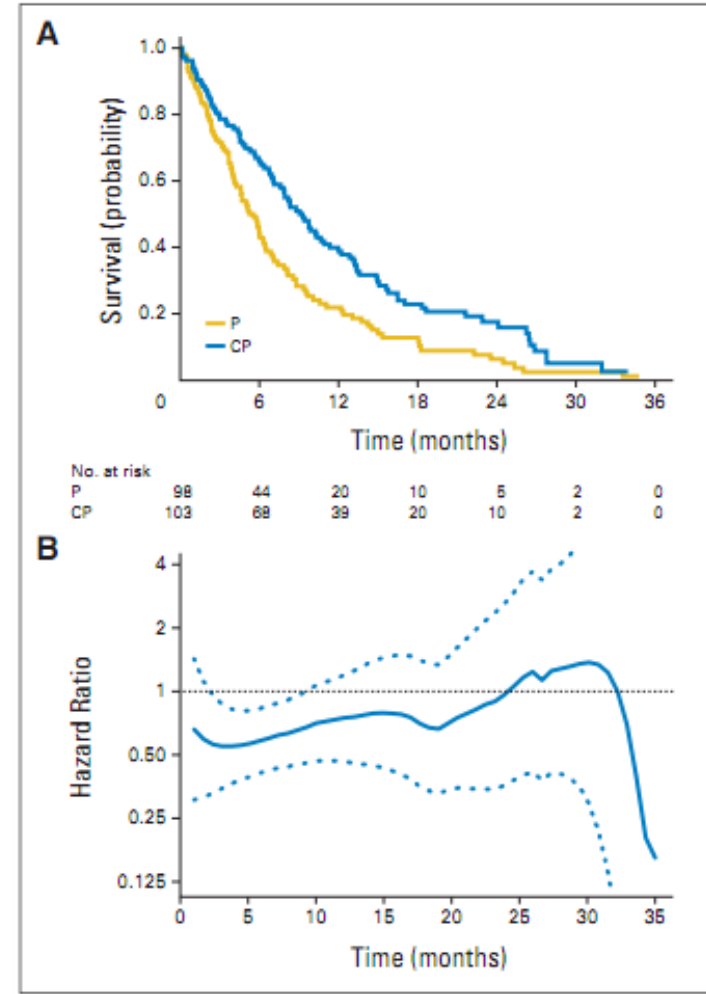
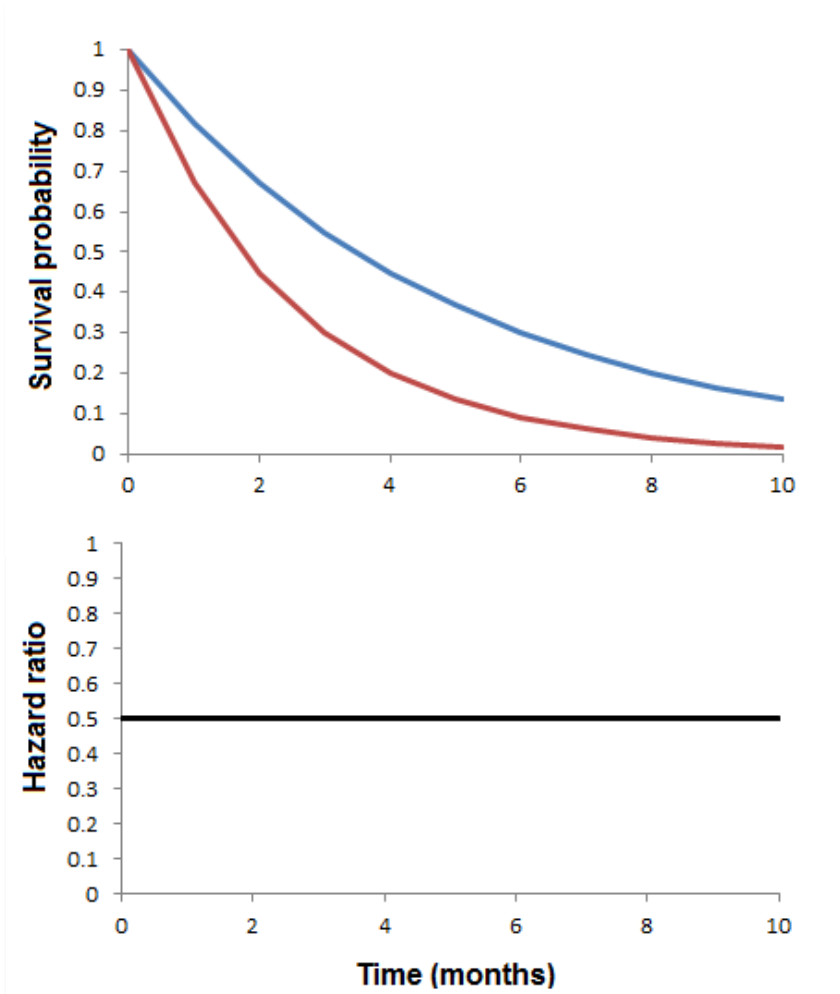
# Difference in medians



# Problems



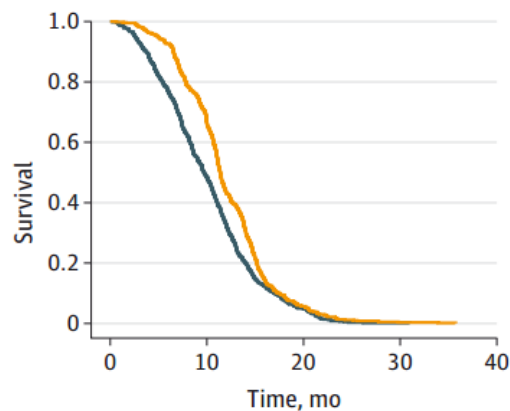
# Hazard ratio





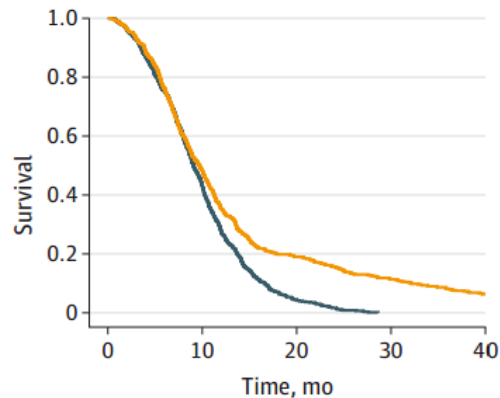
# Non-proportional hazards

**B** Scenario 2: early survival difference



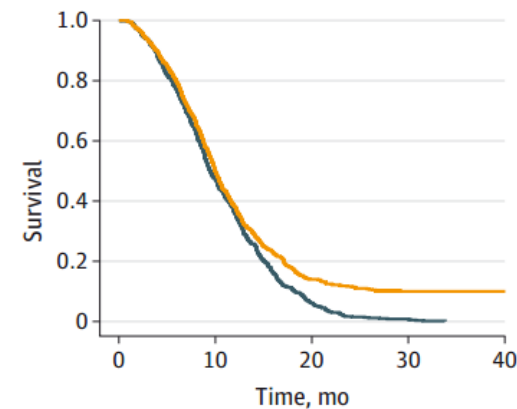
No. at risk				
Group C	600	291	30	1
Group T	600	402	35	1

**C** Scenario 3: delayed survival difference



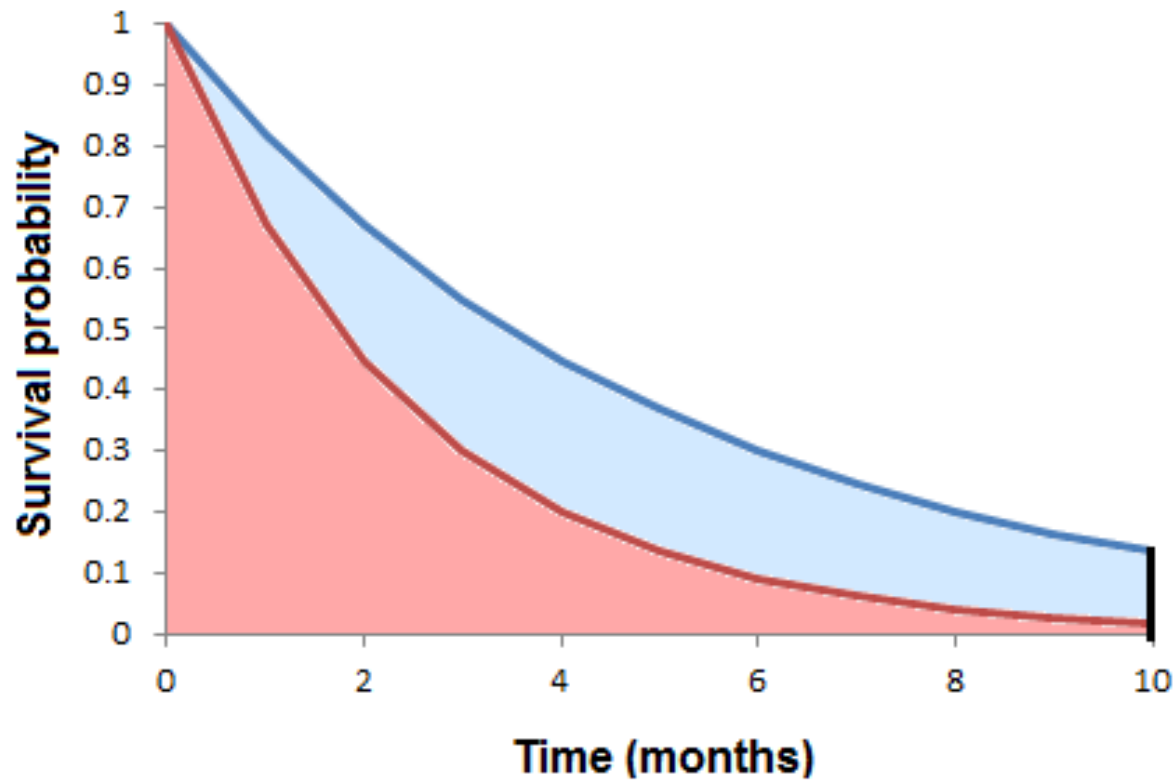
No. at risk					
Group C	600	262	27	0	0
Group T	600	292	115	69	39

**D** Scenario 4: curable disease



No. at risk				
Group C	600	285	38	5
Group T	600	301	85	61

# Restricted means

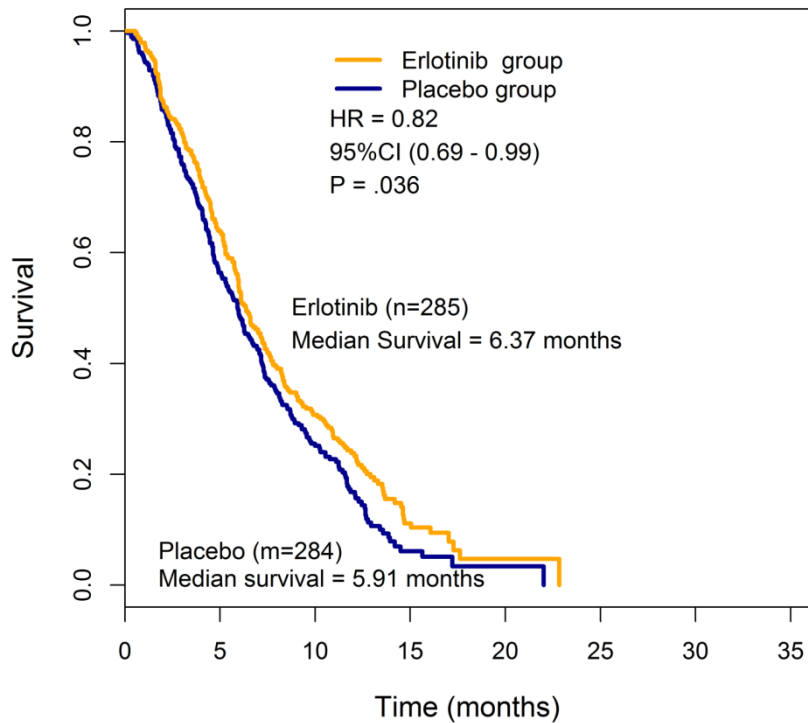


# Which one should we use?

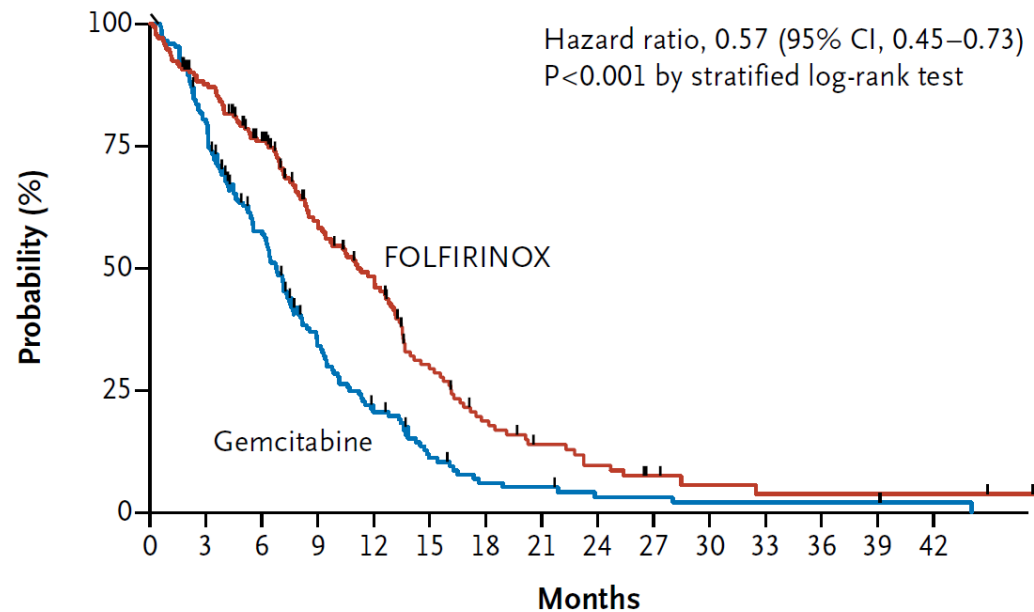
Table 1. Advantages and disadvantages of different measures of treatment effect

Measure	Advantages	Disadvantages
Hazard ratio	<ul style="list-style-type: none"> <li>Almost always reported</li> <li>Clear interpretation</li> <li>Takes entire survival curve into account</li> </ul>	<ul style="list-style-type: none"> <li>Not practical for patient communication</li> <li>Difficult to interpret for nonproportional hazards</li> </ul>
Difference between survival probabilities at different time points (t)	<ul style="list-style-type: none"> <li>Easy to read off survival curves</li> </ul>	<ul style="list-style-type: none"> <li>Depends on choice(s) of t</li> <li>Loses information</li> </ul>
Difference between medians	<ul style="list-style-type: none"> <li>Easy to read off survival curves</li> <li>Easy to remember</li> </ul>	<ul style="list-style-type: none"> <li>Not directly patient-relevant</li> <li>Not always reached</li> <li>Affected by schedule of assessment for end points other than overall survival</li> <li>Loses information</li> <li>Statistically unstable</li> </ul>
Difference between restricted means	<ul style="list-style-type: none"> <li>Takes entire survival curve (until chosen time t) into account</li> <li>Does not depend on proportional hazards assumption</li> <li>Intuitive interpretation as difference between areas under the survival curves</li> </ul>	<ul style="list-style-type: none"> <li>Almost never reported</li> <li>Difficult interpretation if survival curves are far from 0 at the largest follow-up time t</li> <li>Potential for misunderstanding the key role of truncation time in its computation</li> </ul>

# Some examples



Overall Survival



# Different views

**Table 2. Results of different measures of treatment effect within trials\***

Measure	Advanced pancreatic cancer (27)	Advanced pancreatic cancer (28)
Treatment comparisons	Gemcitabine plus erlotinib vs gemcitabine plus placebo	FOLFIRINOX vs gemcitabine
Summary result for primary end point	Gemcitabine plus erlotinib superior for overall survival	FOLFIRINOX superior for overall survival
Hazard ratio	0.82	0.57
Difference between survival probabilities	6% at 12 mo	20.7% at 12 mo
Difference between medians	10 d	4.3 mo
Difference between restricted means	0.5 mo with restriction at 18 mo	3.3 mo with restriction at 18 mo

- Formally
  - The primary endpoint, usually related to efficacy, but may be QOL or safety
  - Secondary endpoints
  - Health-economics measures, chiefly cost-effectiveness (QALYs, ICERs)
- Informally
  - Overall assessment of benefit/risk, as done by agencies
  - Issues about value

# ASCO and ESMO “scales”

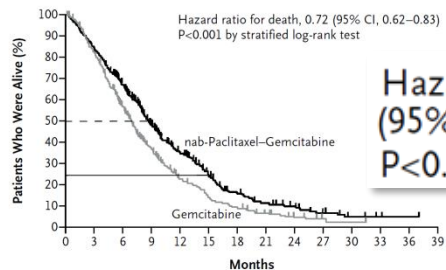
- Focus is on value (benefit/cost)
- Clinical benefit is predefined
  - Assumes a hierarchy within endpoints
  - Ignores potential problems with OS, QOL and surrogates
  - Arbitrary cut-off points of magnitude
- Decisions based on “marginal” results
- From a collective viewpoint, steps in a good direction

- *Evidence-based medicine*
  - RCTs
  - Subgroup analysis, in some cases
- *Precision medicine*: “giving the right treatment to the right patient at the right time”
- *Personalized medicine*: doing this with individualized decisions about the goals of treatment



# An unmet need

- Consider the following results



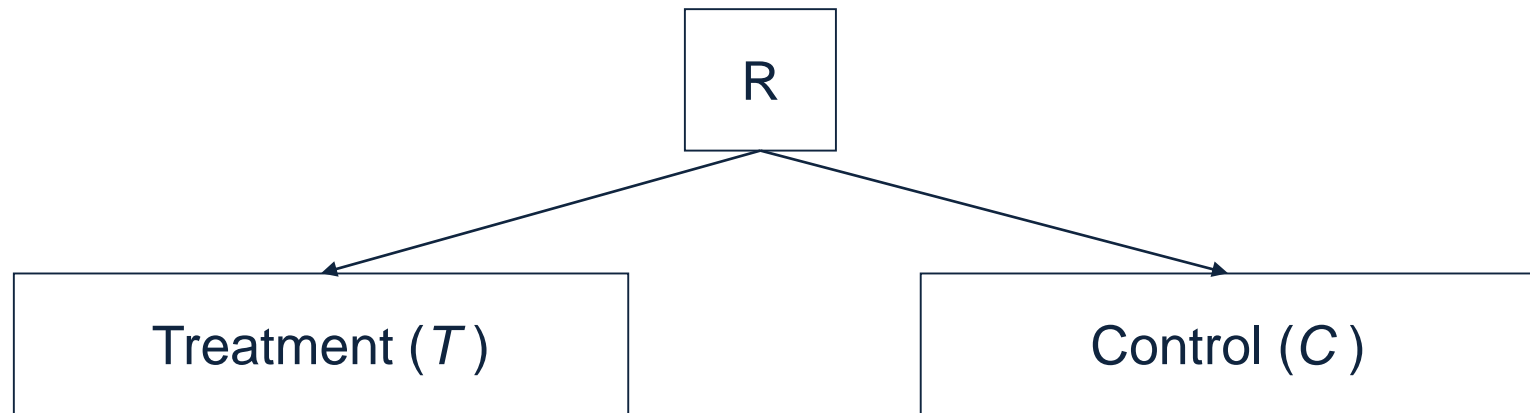
Worst grade related AE	Monotherapy (n=430)	Combination (n=431)
Grade 3	<b>23%</b>	<b>54%</b>
Grade 4		

- A patient might reason:

- Taking combination, I'm more likely to live longer
- Taking combination, I'm more likely to have grade 3/4 adverse events (AEs)
- I'm willing to experience AEs for a survival benefit of at least *m* months...



# Setting the stage



Let  $A$  be the result for the primary  
endpoint in each patient

$B, C, D \dots$  for secondary endpoints

$E, F, G \dots$  for untoward effects

- Compare “average  $A$ ” in each group
- Hope the results for  $B, C, D...$  agree with those from  $A$
- Hope the results for  $E, F, G...$  are acceptable
- Make recommendations based on these “marginal” results
- Look for predictive factors that tailor recommendations to patient subsets (precision medicine)

- General
  - A single endpoint drives decision-making
  - Other endpoints are analyzed descriptively
  - Safety informally balanced against efficacy, resulting in debatable risk / benefit analyses
  - Patient preferences are not formally taken into account

- General
  - A single endpoint drives decision-making
  - Other endpoints are analyzed descriptively
  - Safety informally balanced against efficacy, resulting in debatable risk / benefit analyses
  - Patient preferences are not formally taken into account
- Specific to time-to-event endpoints
  - Non-proportional hazards
  - Composite endpoints consider time to first, not necessarily most relevant, event

Research Article

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Statistics  
in Medicine

Received 27 October 2009,

Accepted 2 March 2010

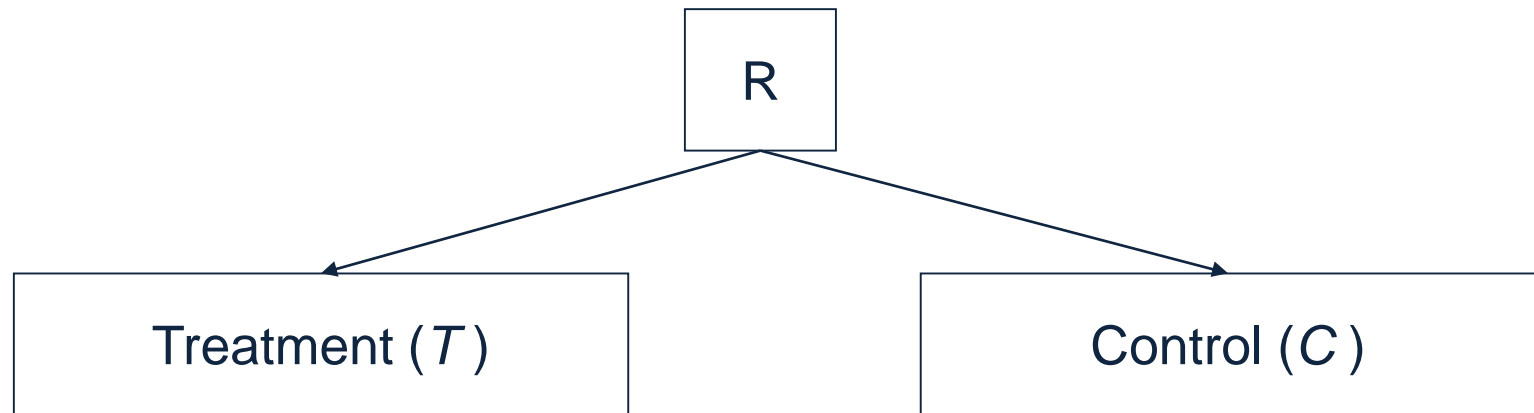
Published online in Wiley Online Library

(wileyonlinelibrary.com) DOI: 10.1002/sim.3923

# Generalized pairwise comparisons of prioritized outcomes in the two-sample problem

Marc Buyse<sup>a,b\*†</sup>

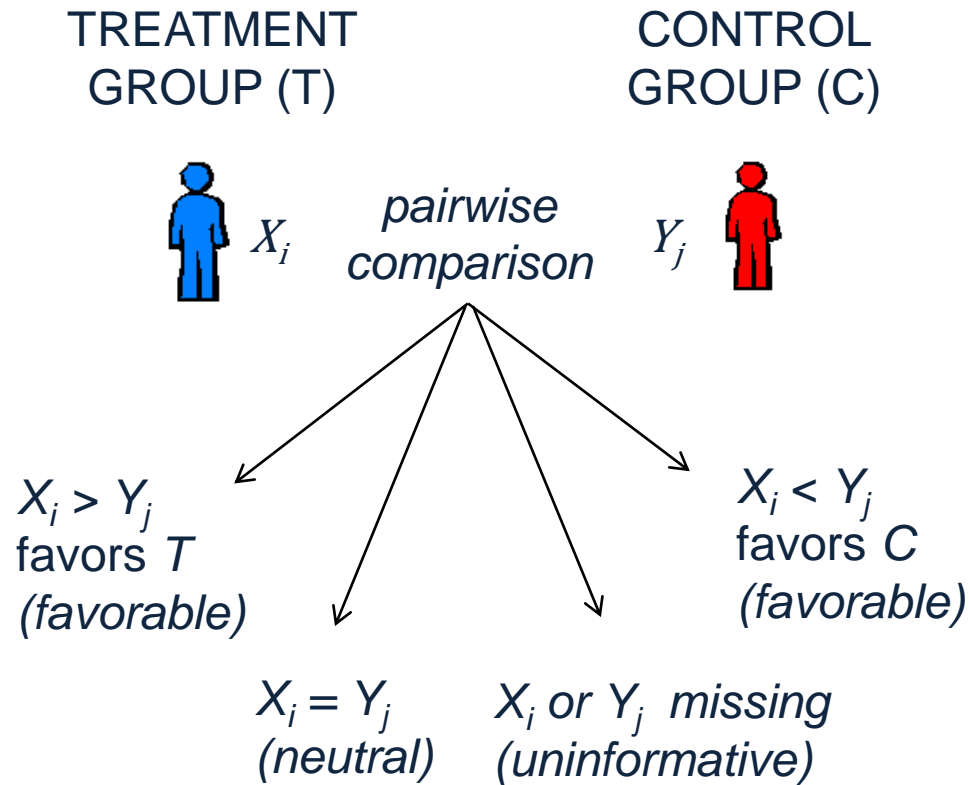
# Randomized trial



Let  $X_i$  be the outcome of  
 $i^{th}$  subject in  $T$  ( $i = 1, \dots, n$ )

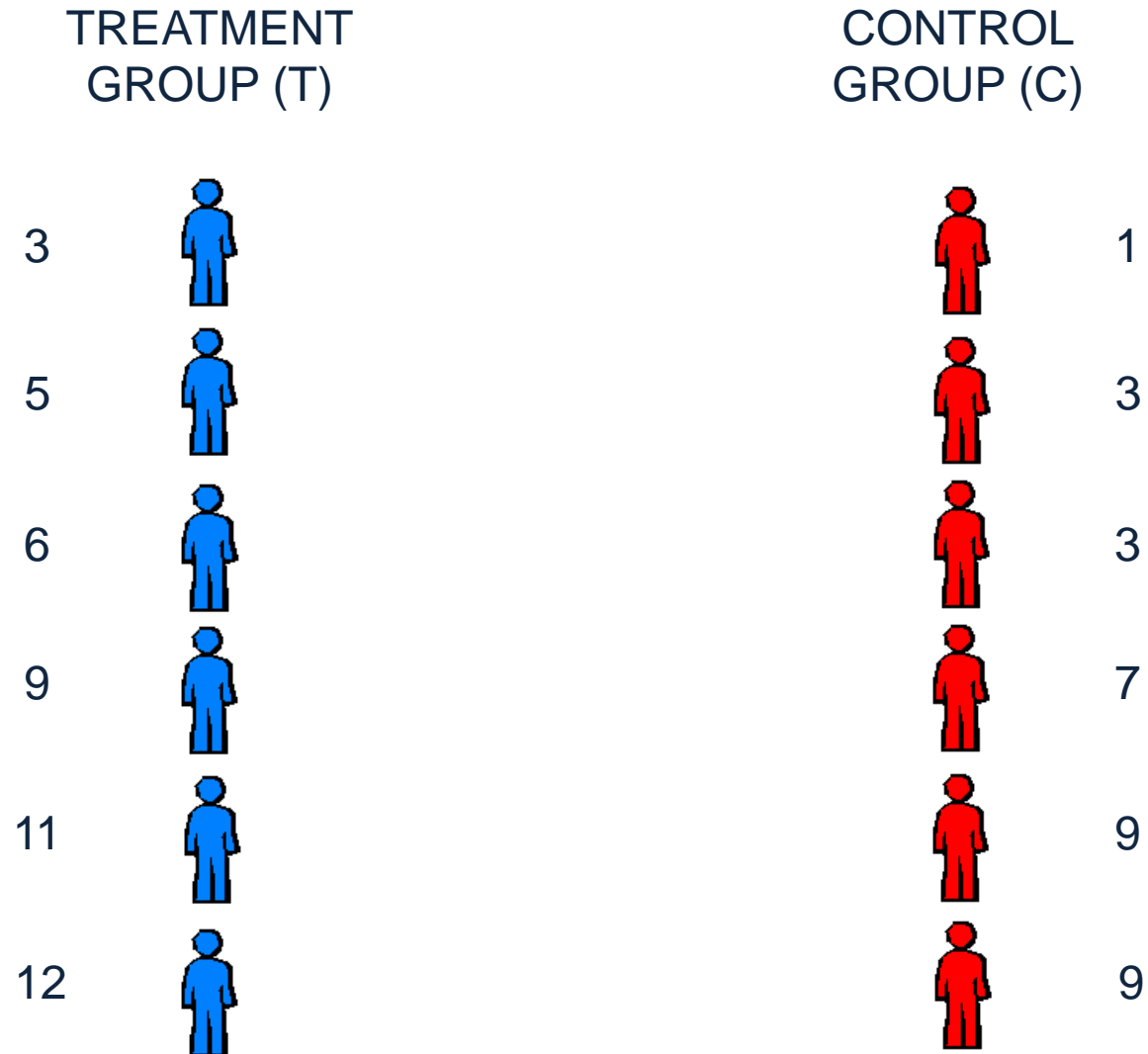
Let  $Y_j$  be the outcome of  
 $j^{th}$  subject in  $C$  ( $j = 1, \dots, m$ )

# Pairwise comparisons

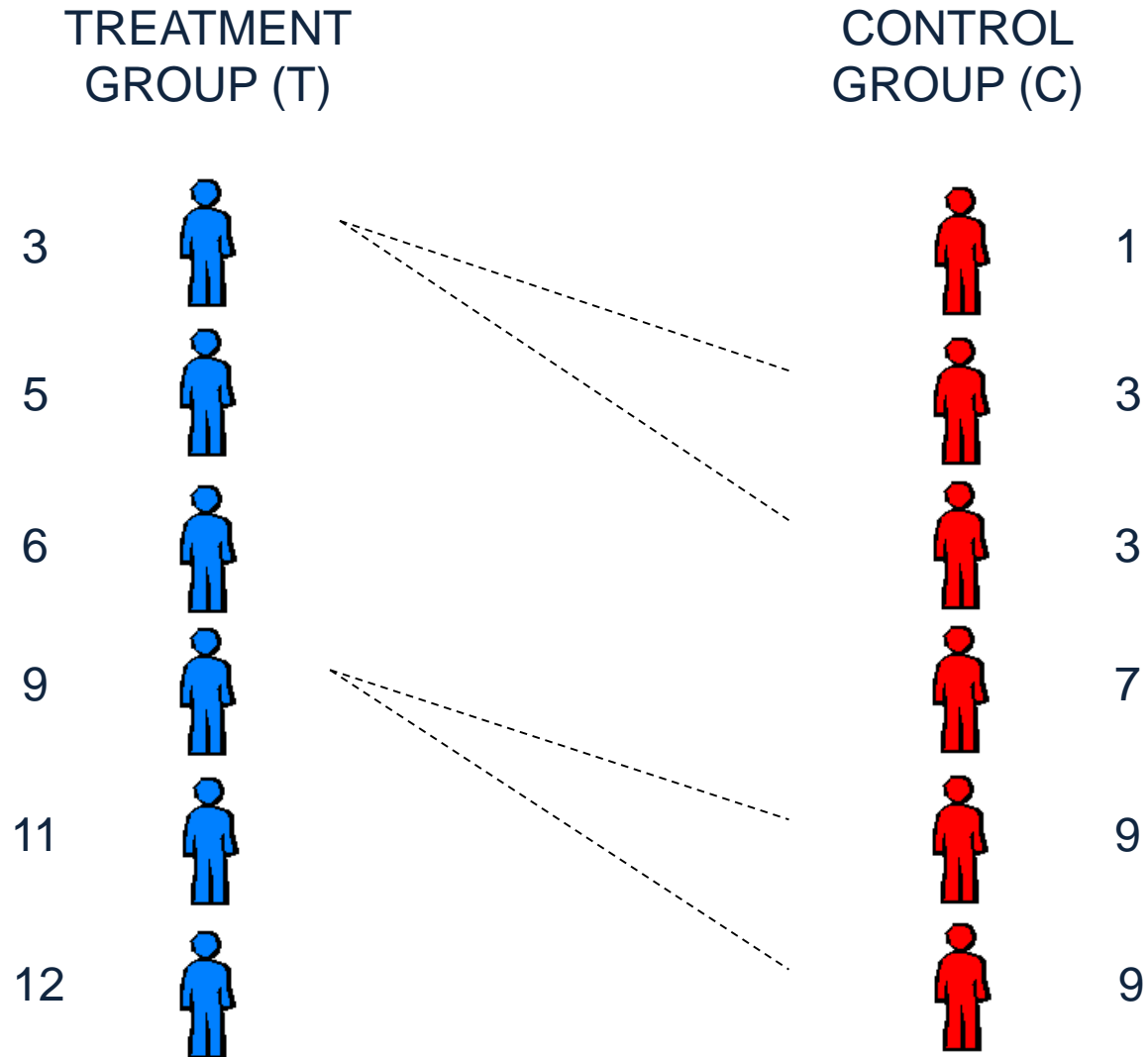




# Illustration of the method

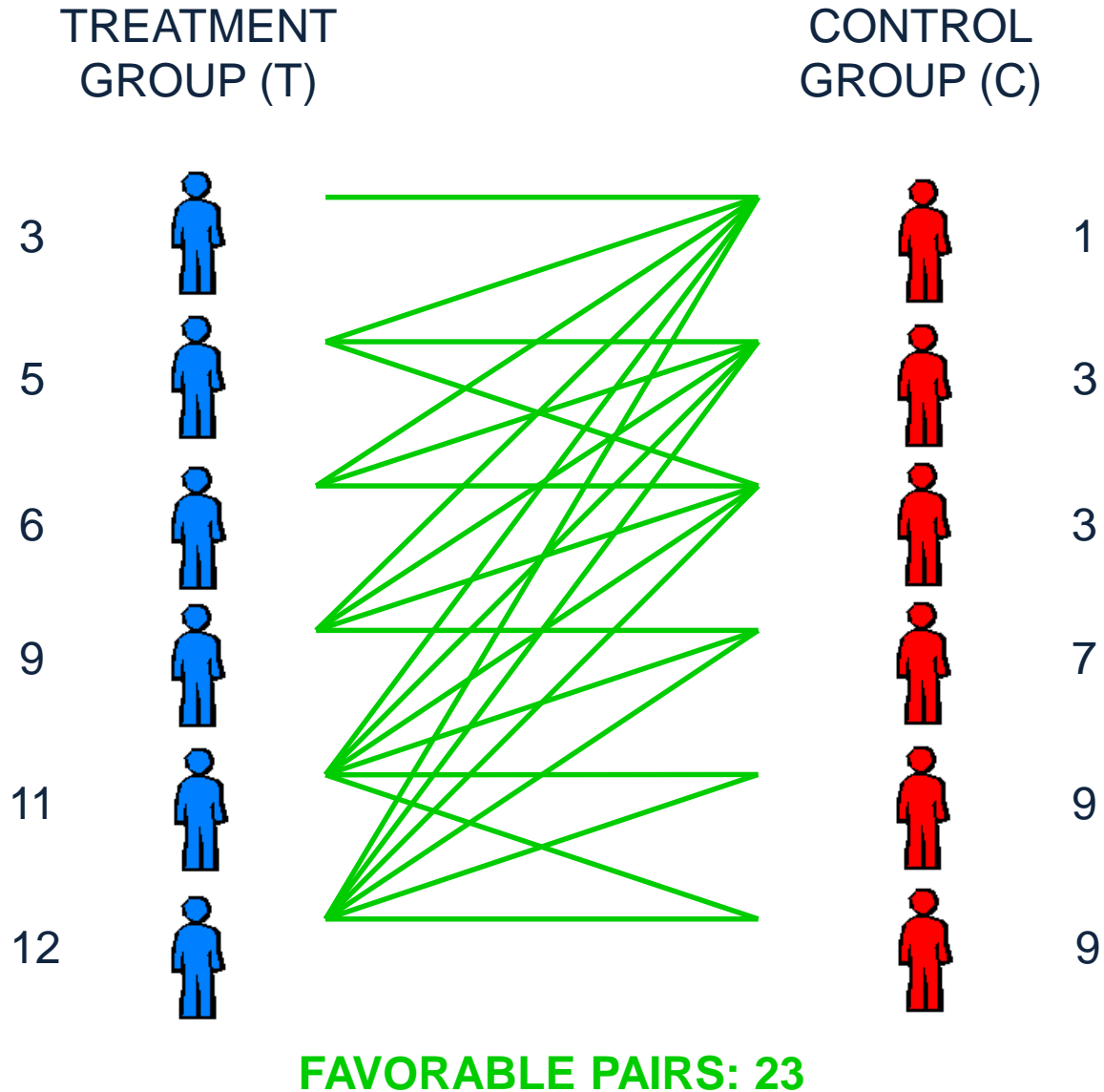


# T and C tie

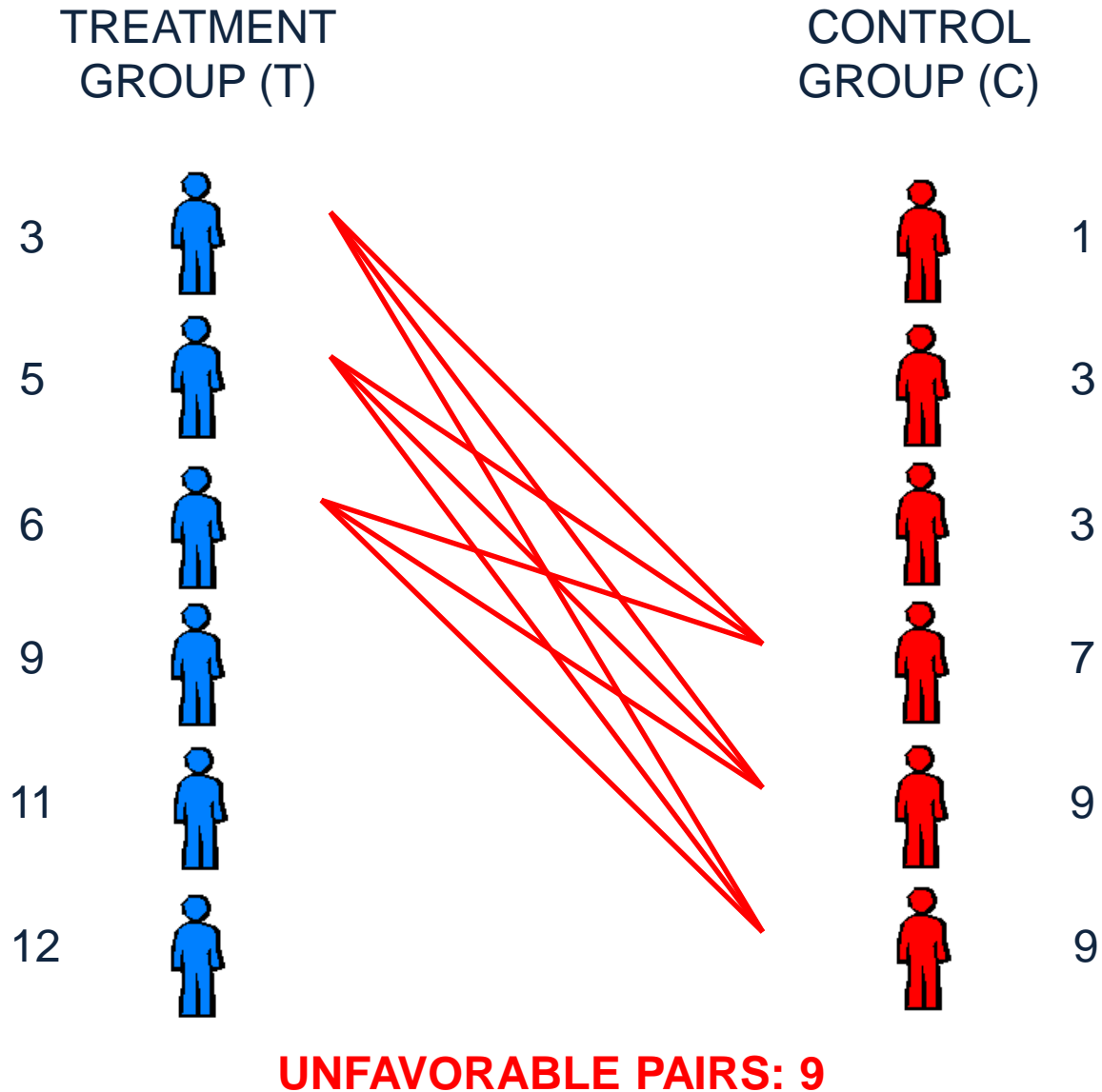


NEUTRAL PAIRS: 4

# T is better



# C is better



# Who wins?

Neutral	Favorable	Unfavorable	Net benefit
$4 / 36 = 0.11$	$23 / 36 = 0.64$	$9 / 36 = 0.25$	$0.64 - 0.25 = 0.39$

The probability of a patient having a better outcome

- if on treatment is 0.64
- if on control is 0.25

The net benefit (or « proportion in favor ») of treatment is 0.39

# The net treatment benefit ( $\Delta$ )

$$U_{ij} = \begin{cases} +1 & \text{if } (X_i, Y_j) \text{ pair is favorable} \\ -1 & \text{if } (X_i, Y_j) \text{ pair is unfavorable} \\ 0 & \text{otherwise} \end{cases}$$

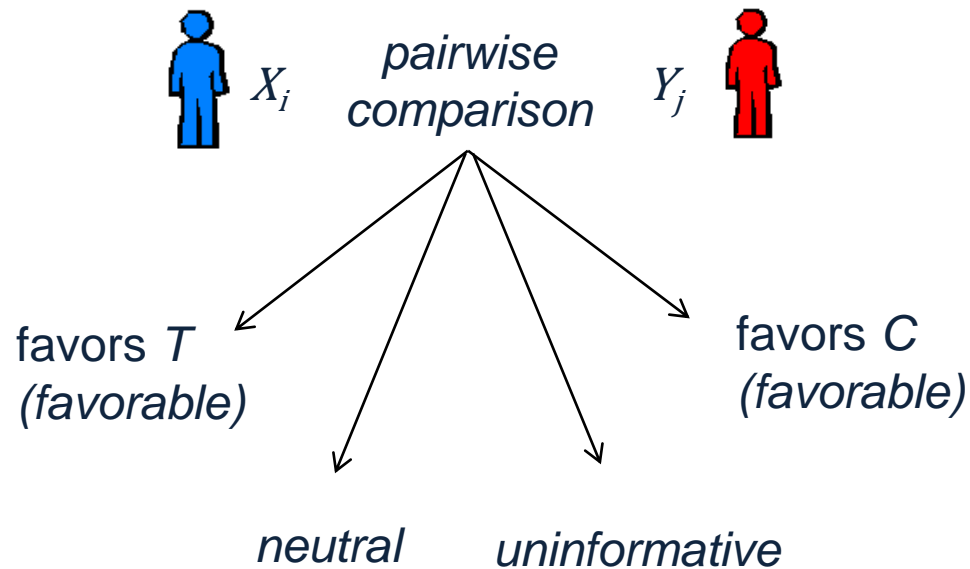
$$U = \frac{1}{m \cdot n} \sum_{i=1}^n \sum_{j=1}^m U_{ij}$$

$U$  is the difference between the proportion of favorable pairs and the proportion of unfavorable pairs. It is the « net treatment benefit », denoted  $\Delta$ .

This measure is analogous to Pocock's « win ratio » ( $\Delta$  is the « win difference »).

# Generalizing the test

Now let  $X_i$  and  $Y_j$  be observed outcomes for any outcome measure (continuous, time-to-event, binary, categorical, ...)



## Generalized pairwise comparisons (GPC)

# Binary outcome measure

Pairwise comparison	Pair is
$X_i = 1, Y_j = 0$	favorable
$X_i = 1, Y_j = 1$ or $X_i = 0, Y_j = 0$	neutral
$X_i = 0, Y_j = 1$	unfavorable
$X_i$ or $Y_j$ missing	uninformative

GPC test is equivalent to  $\chi^2$  test



# Continuous outcome measure

Pairwise comparison	Pair is
$X_i - Y_j > \tau$	favorable
$ X_i - Y_j  \leq \tau$	neutral
$X_i - Y_j < -\tau$	unfavorable
$X_i$ or $Y_j$ missing	uninformative

$\tau = 0$  is Wilcoxon test

$\tau$  can be chosen to reflect clinical relevance

# Time-to-event outcome measure

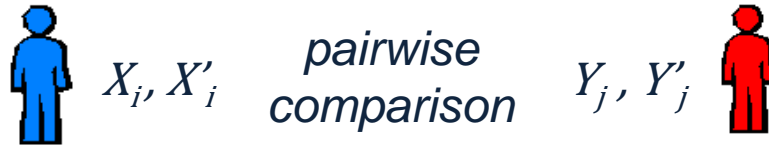
Pairwise comparison	Pair is
$X_i - Y_j > \tau$	favorable
$ X_i - Y_j  \leq \tau$	neutral
$X_i - Y_j < -\tau$	unfavorable
$X_i$ or $Y_j$ missing	uninformative

$\tau = 0$  is Gehan test (accounting for censoring of  $X$  or  $Y$ )

$\tau$  can be chosen to reflect clinical relevance

# Prioritizing outcomes

Now let  $\langle X_i \text{ and } X'_i \rangle$  and  $\langle Y_j \text{ and } Y'_j \rangle$  be observed results for two outcome measures,  $X$  and  $Y$  being prioritized over  $X'$  and  $Y'$



$X_i / Y_j$	$X'_i / Y'_j$	Pair is
Favorable		favorable
unfavorable		unfavorable
neutral or ?	favorable	favorable
neutral or ?	unfavorable	unfavorable
neutral or ?	neutral	neutral
?	?	?

## GPC for prioritized outcomes

# Prioritizing through the use of thresholds of clinical relevance

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Survival difference > 12 months	Survival difference $\leq$ 12 months	Pair is
favorable		favorable
unfavorable		unfavorable
neutral or ?	favorable	favorable
neutral or ?	unfavorable	unfavorable
neutral or ?	neutral	neutral
?	?	?

---

# Prioritizing through the use of different outcomes

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Survival	Serious toxicity (e.g. CTCAE grade 3/4)	Pair is
favorable		favorable
unfavorable		unfavorable
neutral or ?	favorable	favorable
neutral or ?	unfavorable	unfavorable
neutral or ?	neutral	neutral
?	?	?

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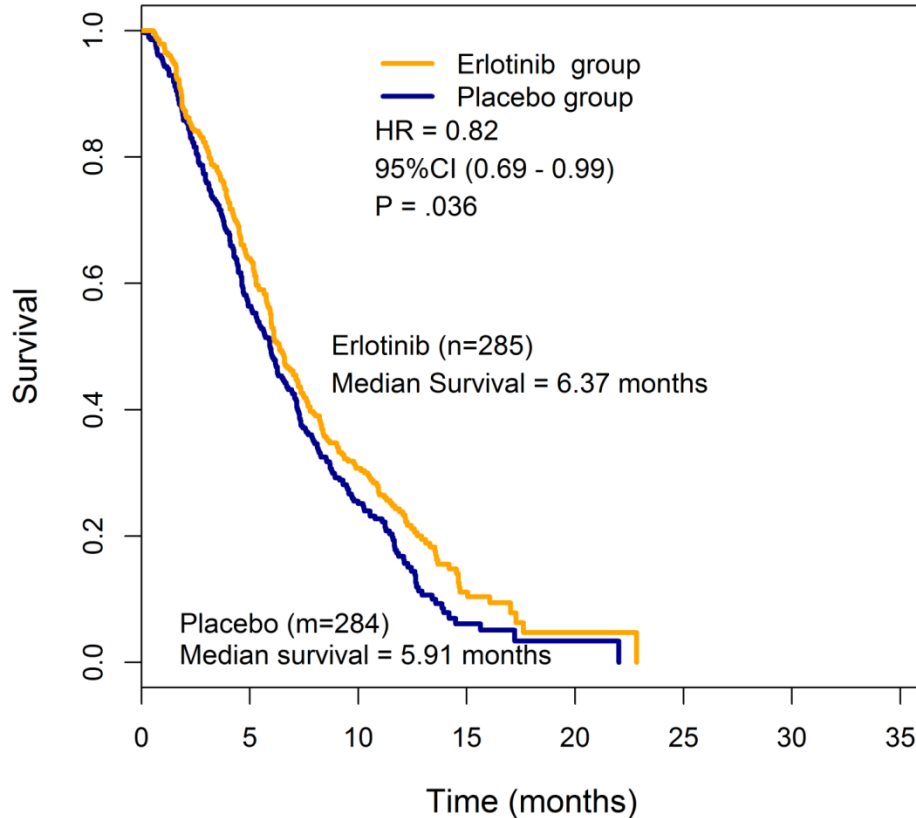
## Analyzing benefit/risk in advanced pancreatic cancer

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- Re-analysis of individual patient data from three randomized trials:
- Gemcitabine  $\pm$  erlotinib <sup>1</sup>
- Gemcitabine vs. FOLFIRINOX <sup>2</sup>
- Gemcitabine  $\pm$  nab-paclitaxel <sup>3</sup>

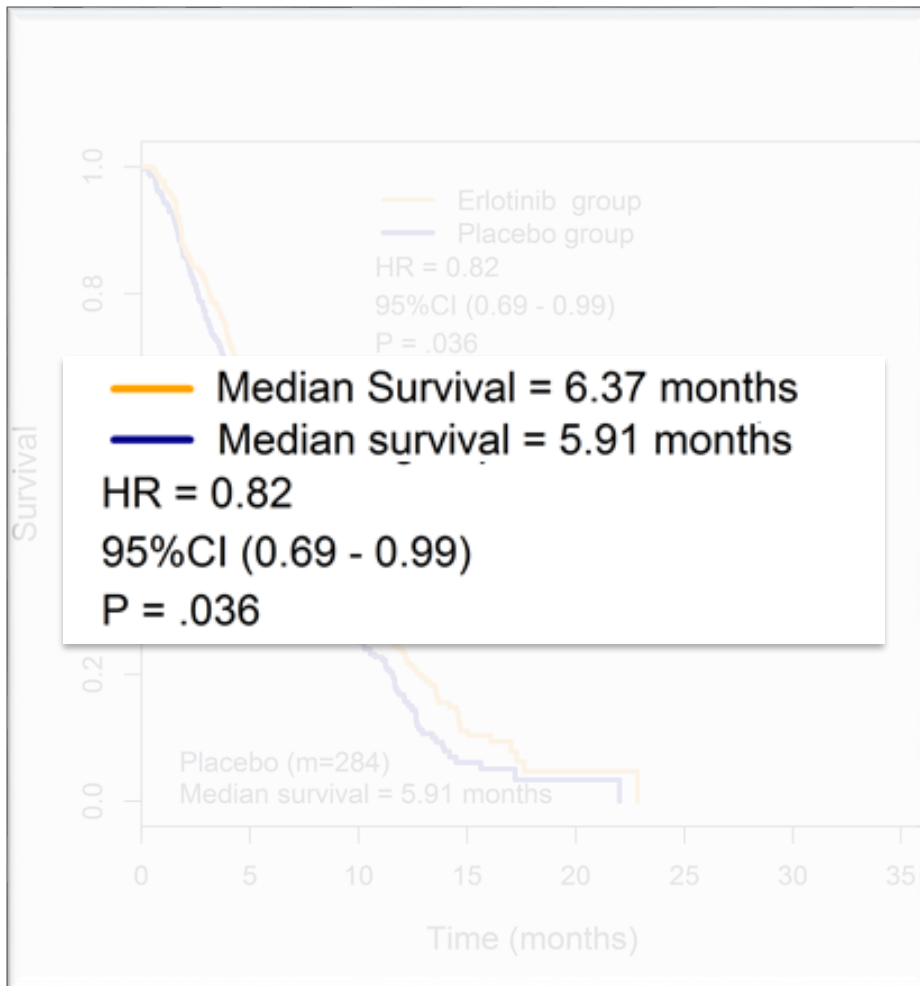
1. Moore et al, *J Clin Oncol* 2007; 25:1960
2. Von Hoff et al, *N Engl J Med* 2013;369:1691
3. Conroy et al, *N Engl J Med* 2011;364:1817

# Gemcitabine ± erlotinib



Worst grade related AE	Erlotinib (n=282)	Placebo (n=280)
<b>Grade 1</b>	48 (17%)	69 (24.6%)
<b>Grade 2</b>	118 (41.8%)	89 (31.8%)
<b>Grade 3</b>	72 (25.5%)	47 (16.8%)
<b>Grade 4</b>	11 (3.9%)	6 (2.1%)
<b>Grade 5</b>	4 (1.4%)	3 (1.1%)

# Benefit and harm



Worst grade related AE	Erlotinib (n=282)	Placebo (n=280)
Grade 1	48 (17%)	69 (24.6%)
Grade 2	118 (41.8%)	89 (31.8%)
<b>Grade 3</b>		
<b>Grade 4</b>	<b>29%</b>	<b>19%</b>
Grade 5	4 (1.4%)	3 (1.1%)



# Prioritized outcomes: OS and worst toxicity

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OS difference > 2 months	Worst toxicity (of any type)	Pair is
favorable	-	favorable
unfavorable	-	unfavorable
neutral or ?	favorable	favorable
neutral or ?	unfavorable	unfavorable
neutral or ?	neutral	neutral
?	?	?

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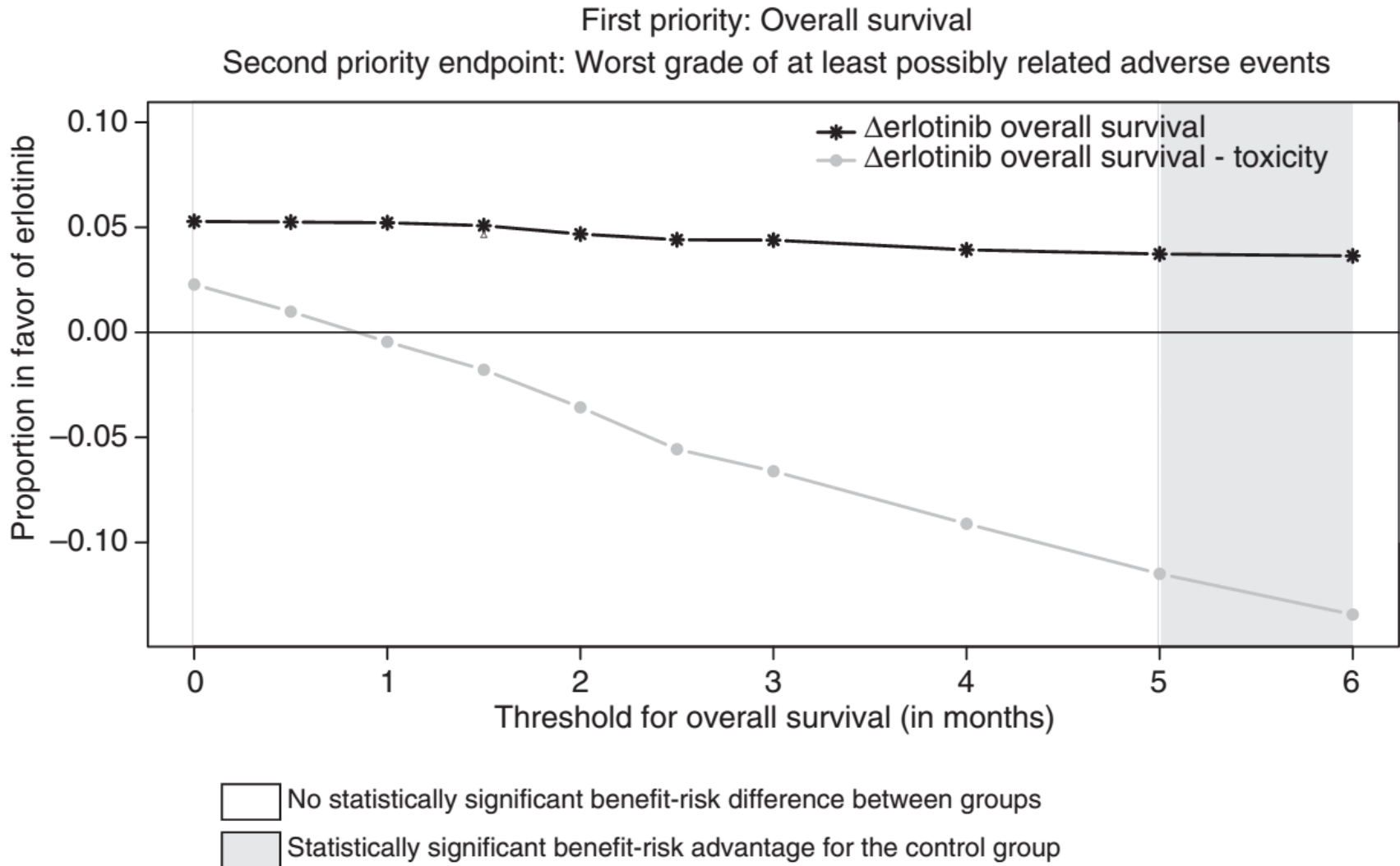
# Prioritized outcomes: OS and worst toxicity

**Table 3. Main analysis of the benefit–risk balance of erlotinib and gemcitabine combination**

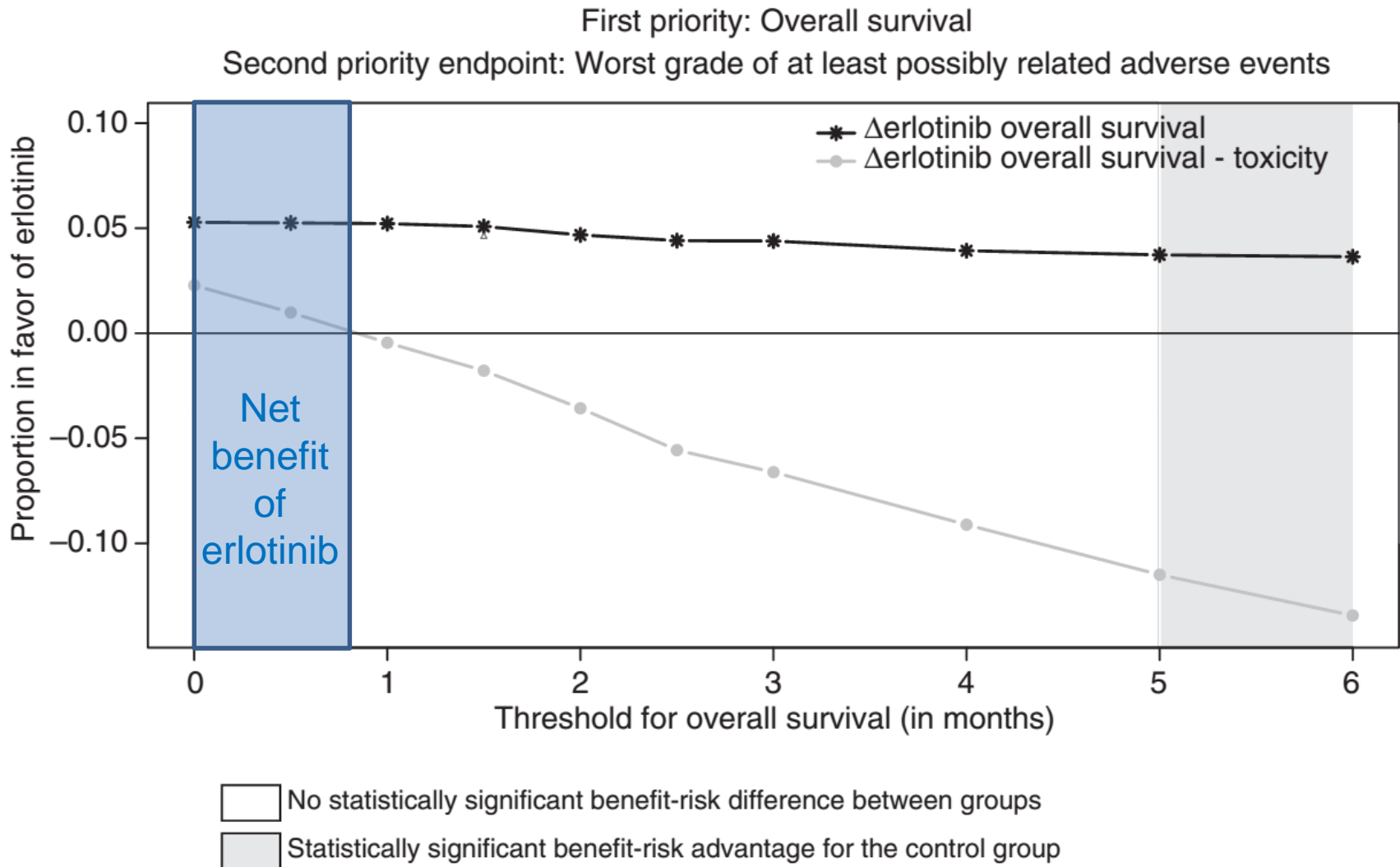
Priority	Proportion of pairs (%)		Difference
	Erlotinib > placebo	Placebo > erlotinib	$\Delta$ [erlotinib]
OS (threshold = 2 months)	37.0	32.3	4.7
Worst related AE grade	7.5	15.7	– 8.3
Overall	44.5	48.1	– 3.6 ( $P=0.51$ )

Abbreviations: > = better than; AE = adverse events;  $\Delta$ [erlotinib] = proportion in favour of the erlotinib group; OS = overall survival.

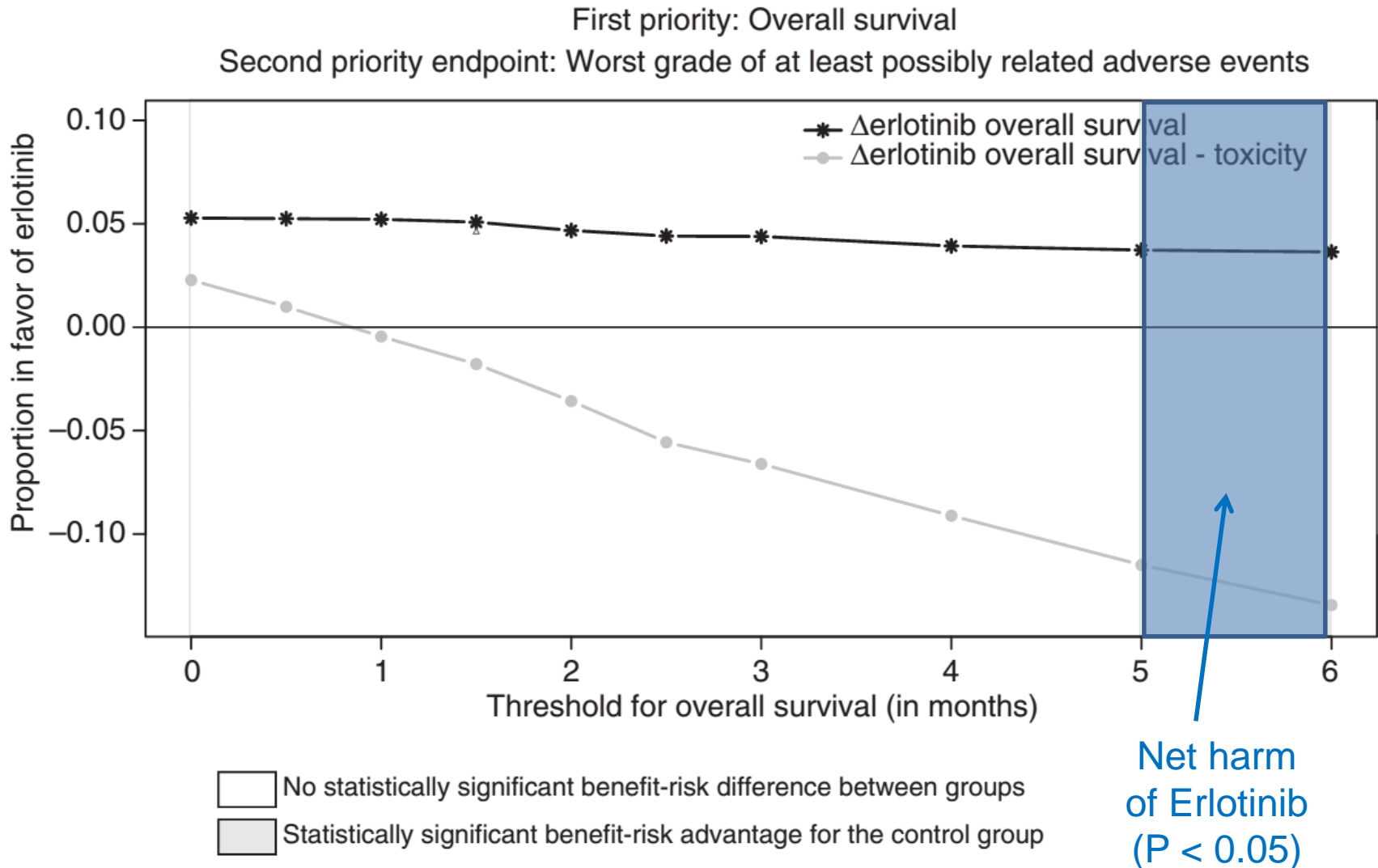
# Prioritized outcomes: OS and worst toxicity



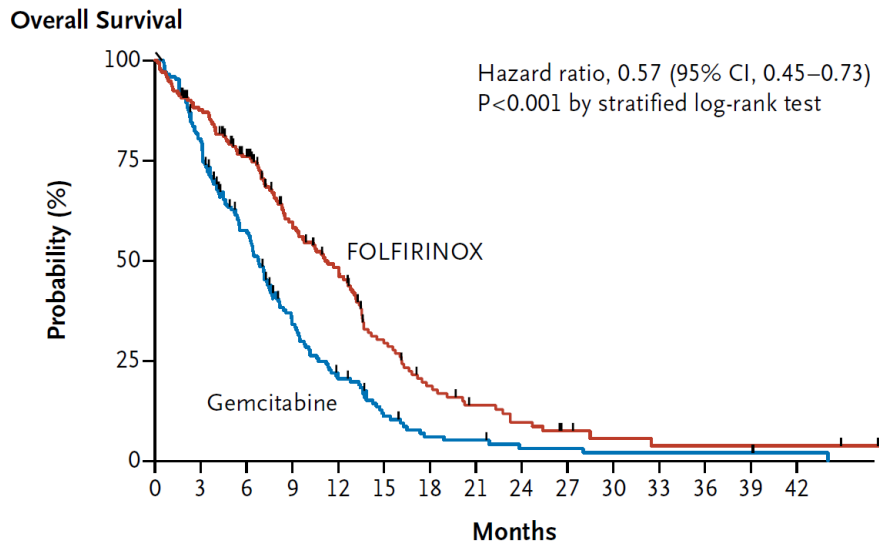
# Prioritized outcomes: OS and worst toxicity



# Prioritized outcomes: OS and worst toxicity

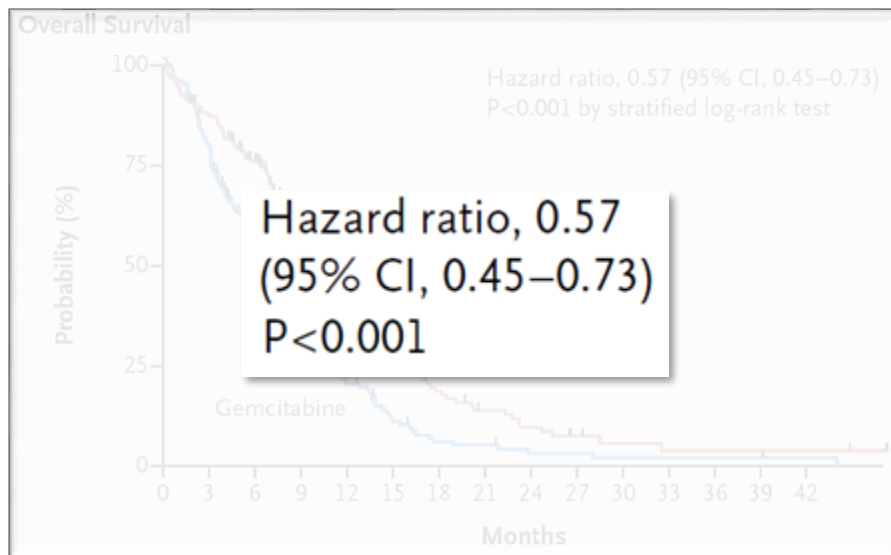


# Gemcitabine vs FOLFIRINOX



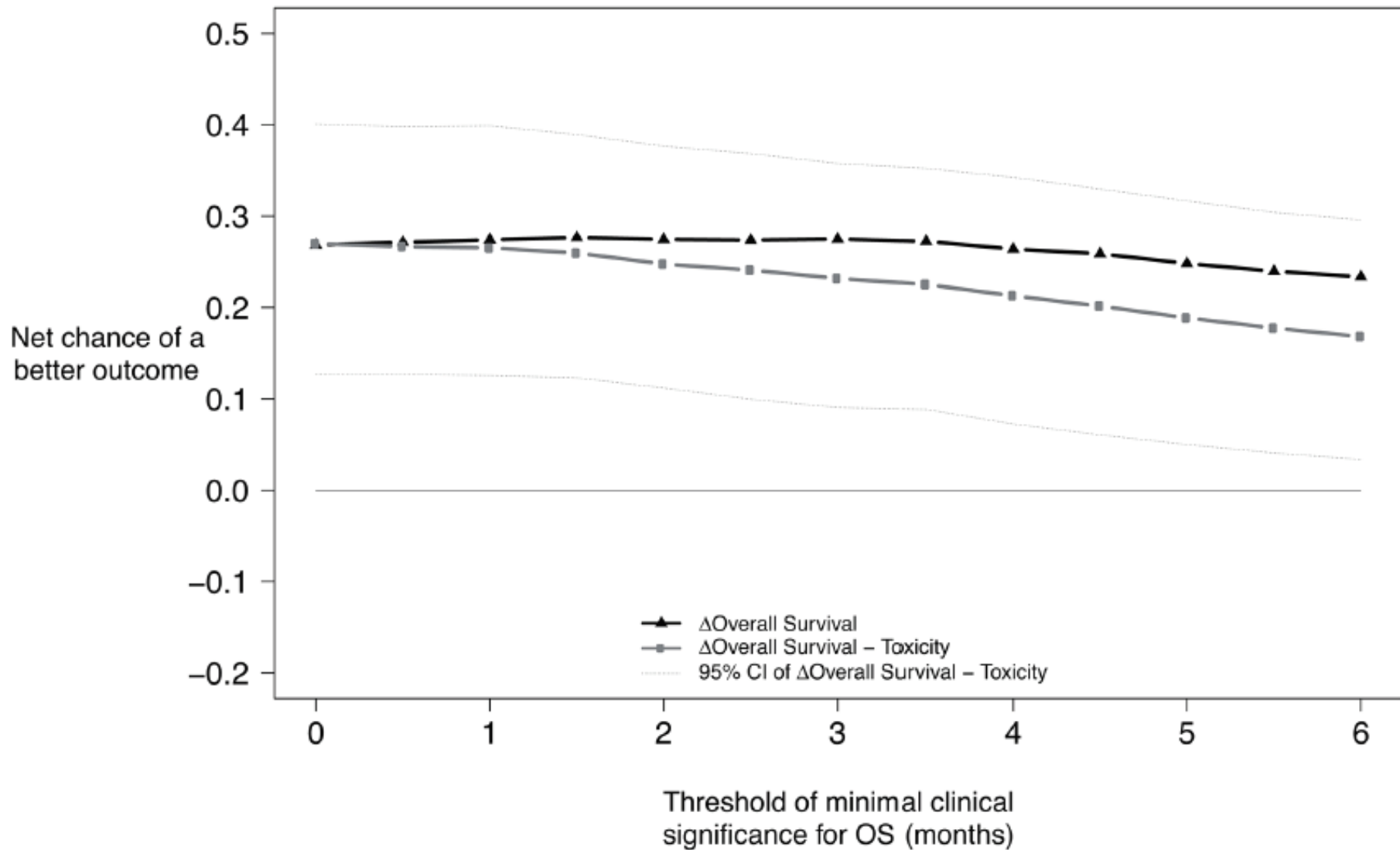
Worst grade AE	FOLFIRINOX (n=171)	Gemcitabine (n=171)
<b>Grade 0</b>	6 (3.5%)	2 (1.2%)
<b>Grade 1</b>	7 (4.1%)	5 (2.9%)
<b>Grade 2</b>	40 (23.4%)	62 (36.3%)
<b>Grade 3</b>	81 (47.7%)	67 (39.2%)
<b>Grade 4</b>	36 (21.1%)	34 (19.9%)
<b>Grade 5</b>	1 (0.6%)	1 (0.6%)

# Benefit and harm



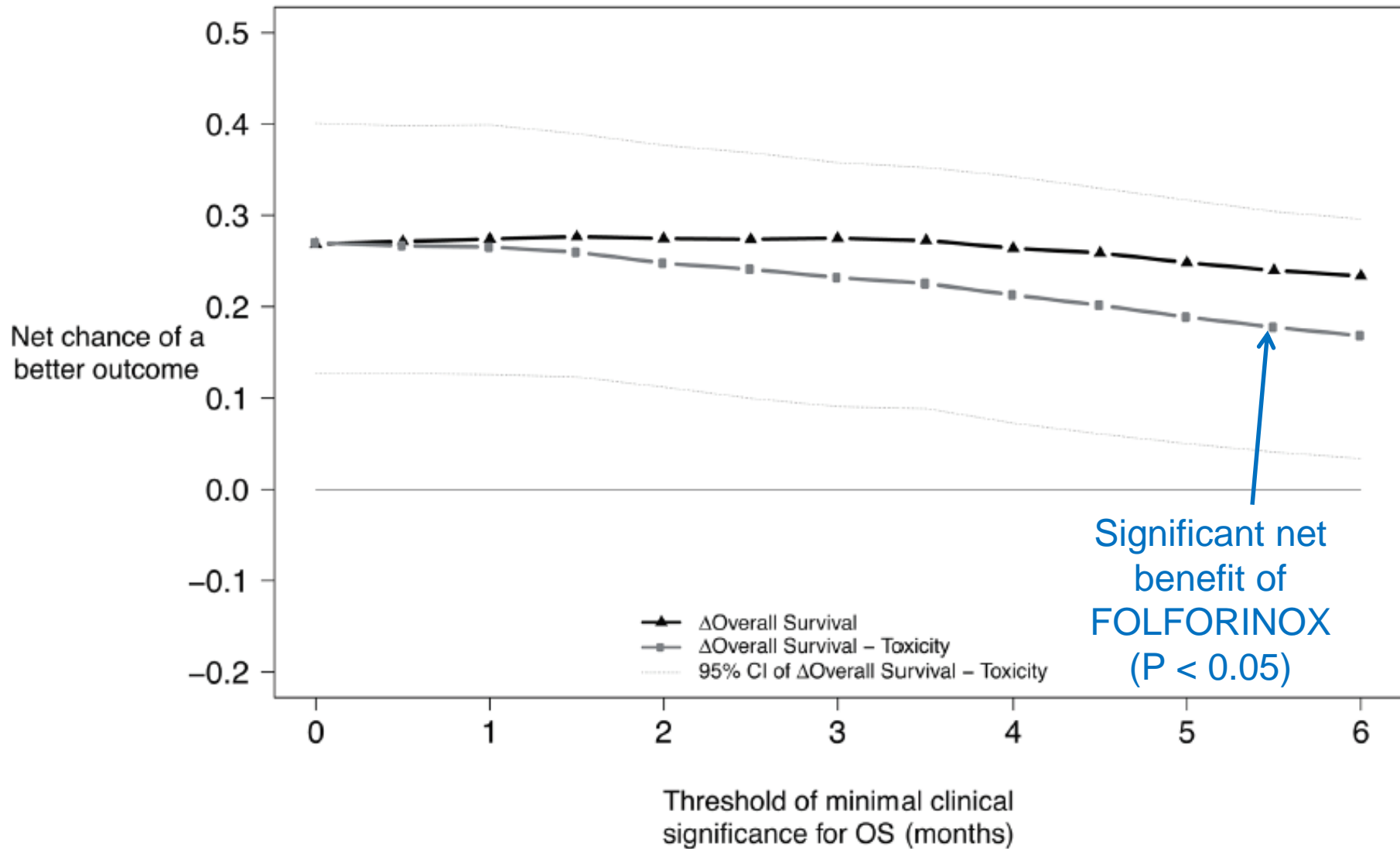
Worst grade AE	FOLFIRINOX (n=171)	Gemcitabine (n=171)
Grade 0	6 (3.5%)	2 (1.2%)
Grade 1	7 (4.1%)	5 (2.9%)
Grade 2	40 (23.4%)	62 (36.3%)
<b>Grade 3</b>		
<b>Grade 4</b>	<b>68%</b>	<b>59%</b>
Grade 5	1 (0.6%)	1 (0.6%)

# Prioritized outcomes: OS and worst toxicity

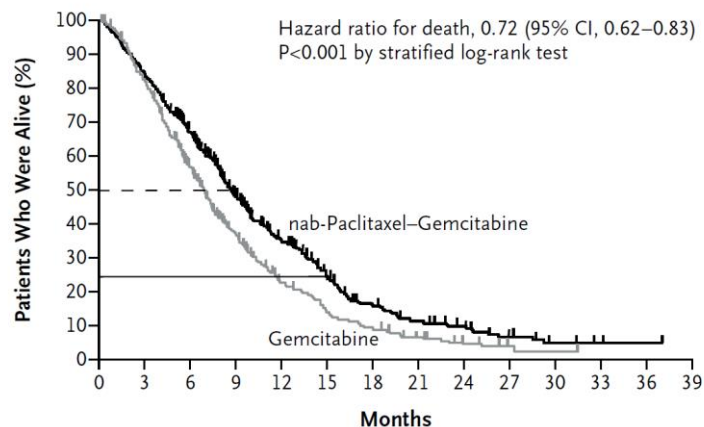




# Prioritized outcomes: OS and worst toxicity



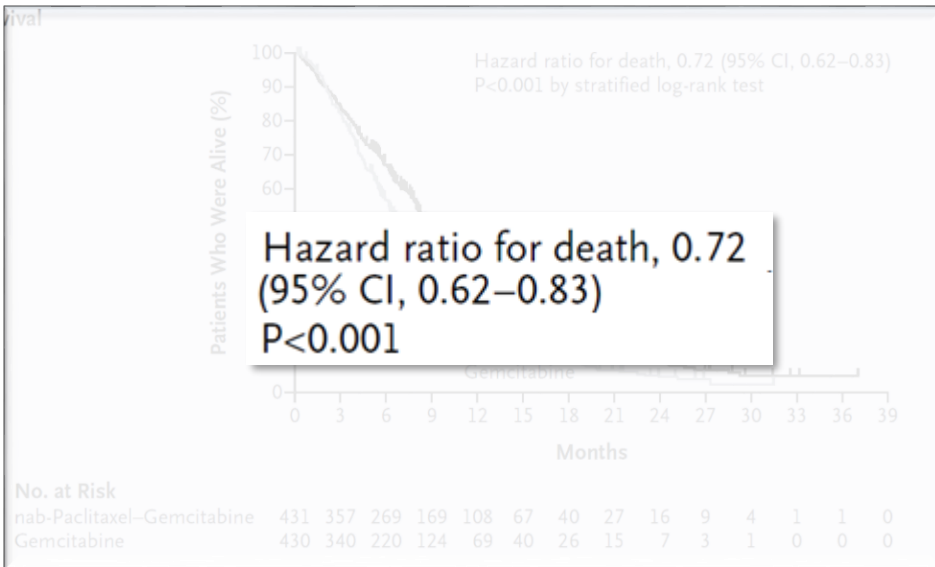
# Gemcitabine ± nab-paclitaxel



No. at Risk	0	3	6	9	12	15	18	21	24	27	30	33	36	39
nab-Paclitaxel-Gemcitabine	431	357	269	169	108	67	40	27	16	9	4	1	1	0
Gemcitabine	430	340	220	124	69	40	26	15	7	3	1	0	0	0

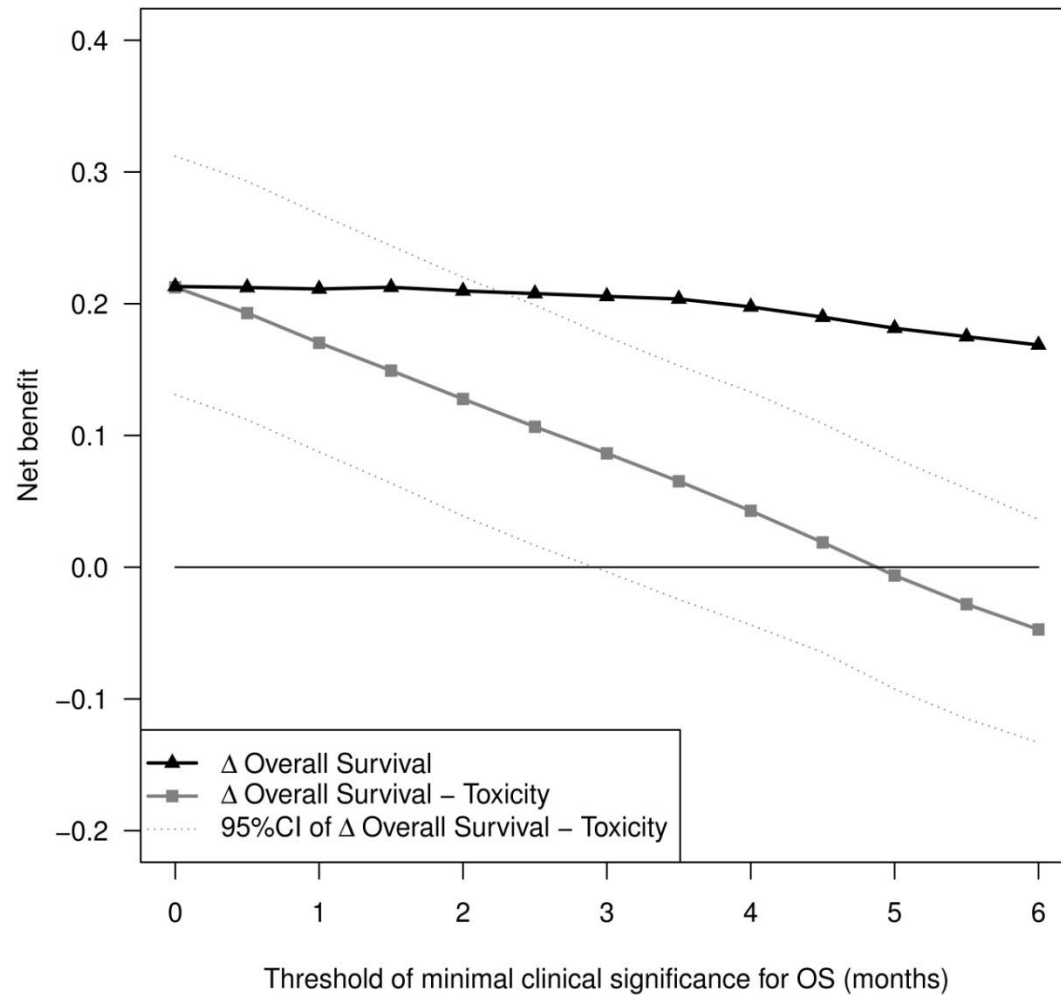
Worst grade related AE	Monotherapy (n=430)	Combination (n=431)
<b>Grade 0</b>	96 (22.3%)	37 (8.6%)
<b>Grade 1</b>	96 (22.3%)	34 (7.9%)
<b>Grade 2</b>	136 (31.6%)	123 (28.5%)
<b>Grade 3</b>	88 (20.5%)	215 (49.9%)
<b>Grade 4</b>	9 (2.1%)	16 (3.7%)
<b>Grade 5</b>	5 (1.2%)	6 (1.4%)

# Benefit and harm

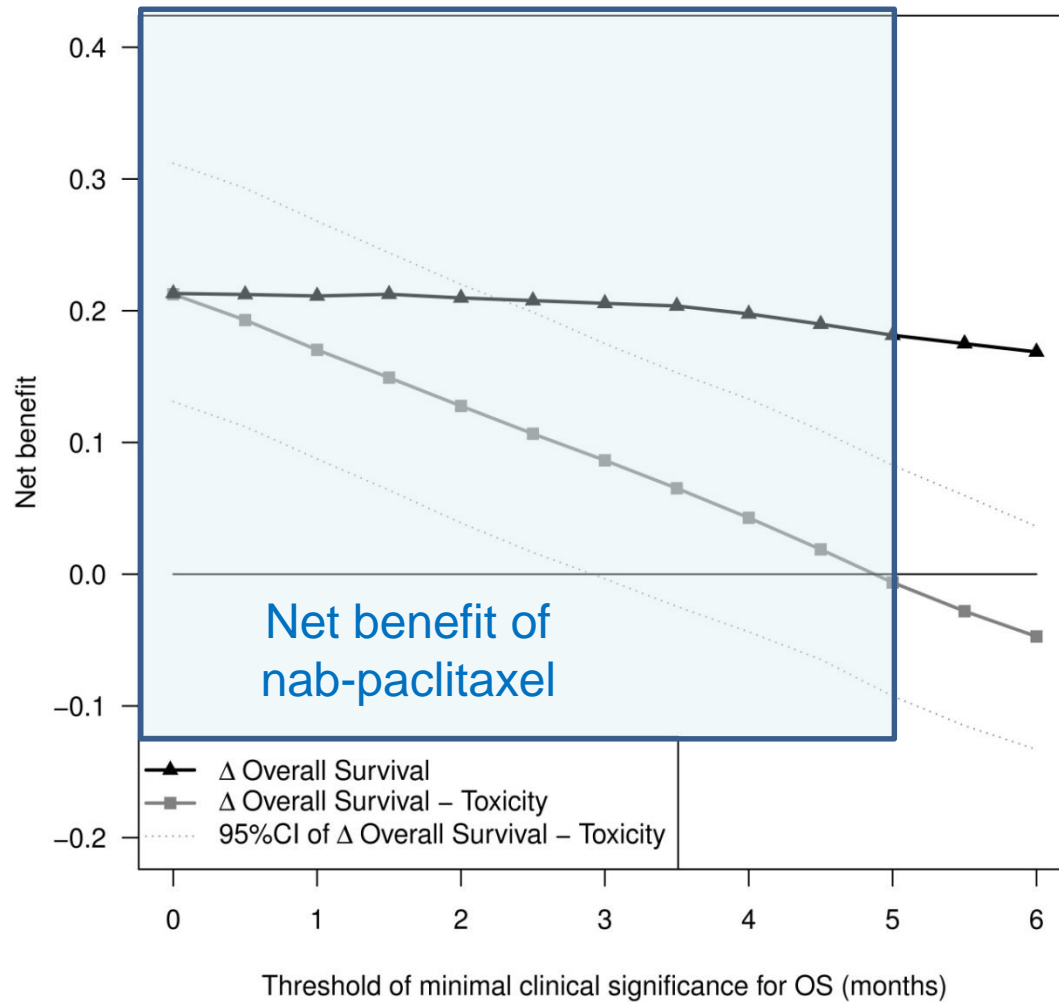


Worst grade related AE	Monotherapy (n=430)	Combination (n=431)
Grade 0	96 (22.3%)	37 (8.6%)
Grade 1	96 (22.3%)	34 (7.9%)
Grade 2	136 (31.6%)	123 (28.5%)
<b>Grade 3</b>		
<b>Grade 4</b>	<b>23%</b>	<b>54%</b>
Grade 5	5 (1.2%)	6 (1.4%)

# Prioritized outcomes: OS and worst toxicity

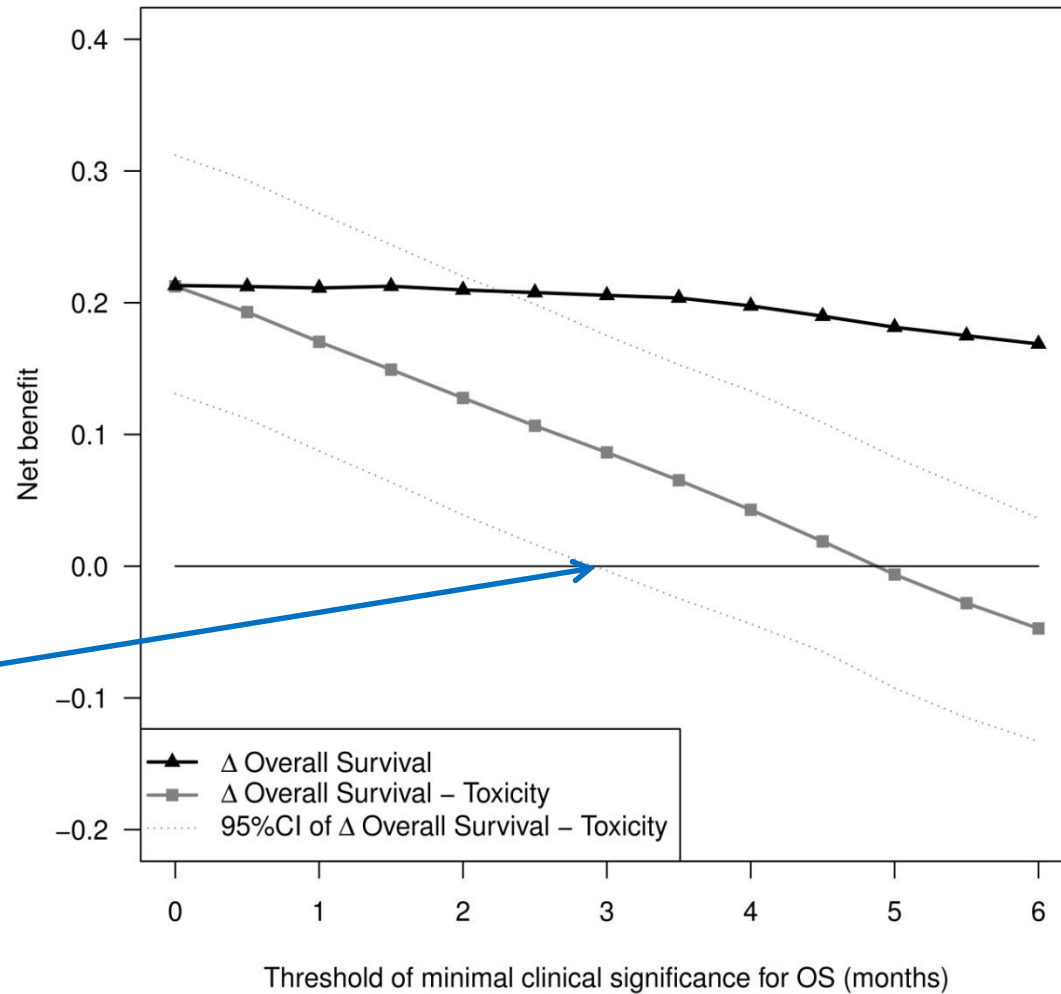


# Prioritized outcomes: OS and worst toxicity



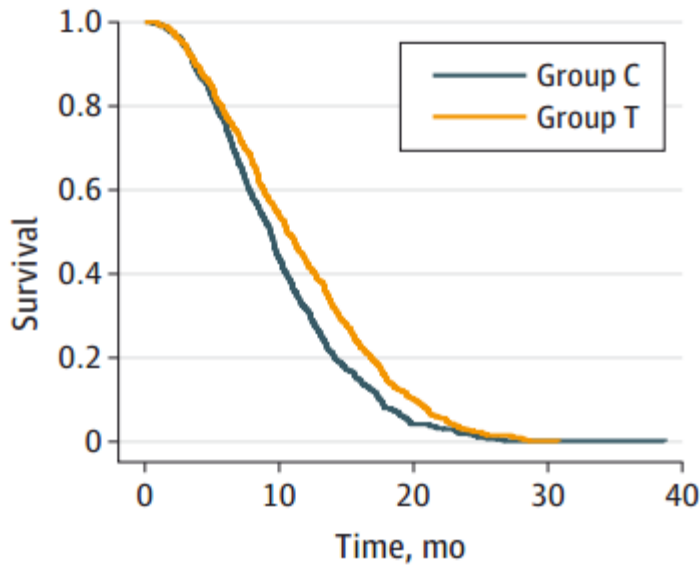
# Prioritized outcomes: OS and worst toxicity

Significant net  
benefit of  
nab-paclitaxel  
( $P < 0.05$ )

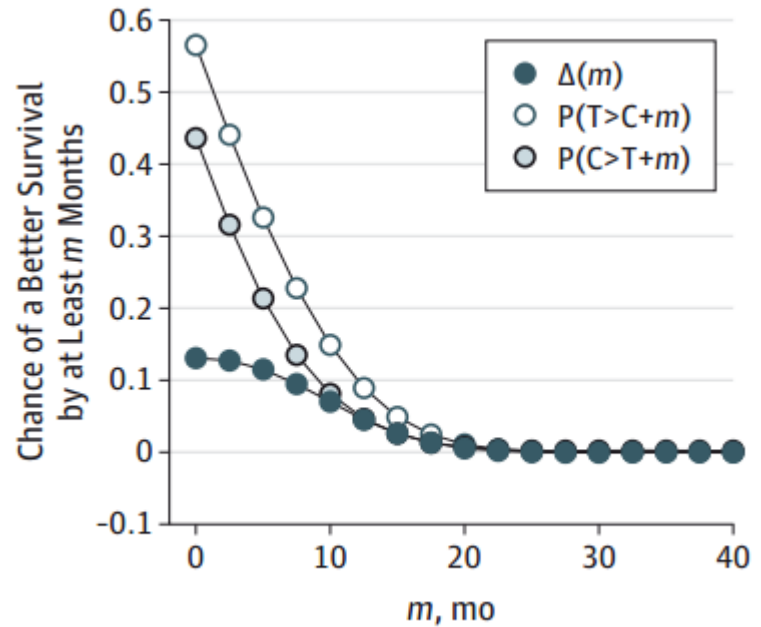


# Net benefit – proportional hazards

**A** Scenario 1: proportional hazards

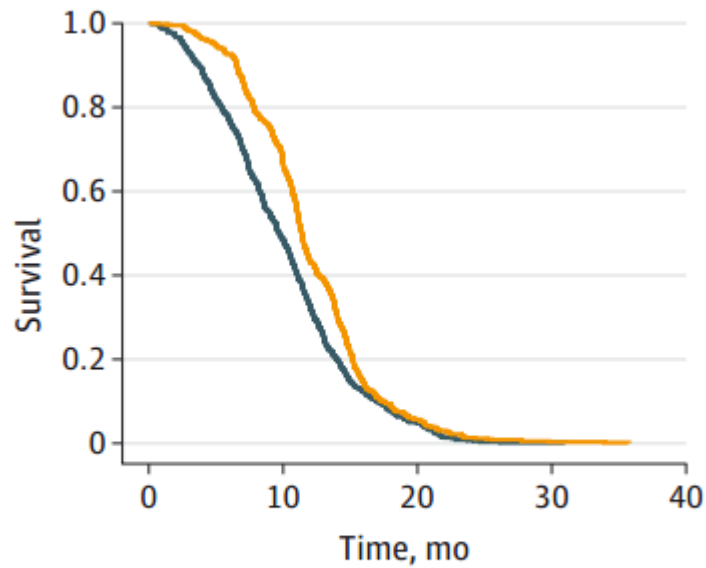


No. at risk				
Group C	600	263	26	1
Group T	600	324	62	1



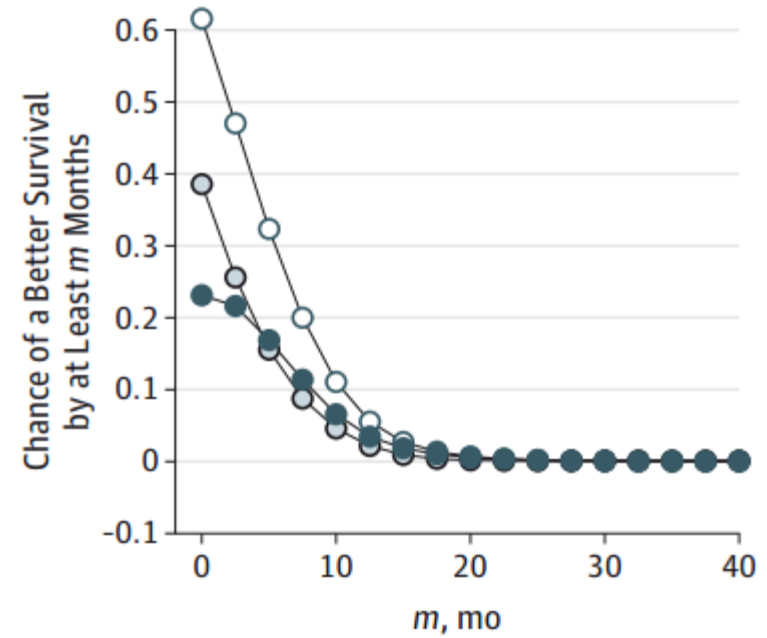
# Net benefit – early difference

**B** Scenario 2: early survival difference



No. at risk

Group C	600	291	30	1
Group T	600	402	35	1

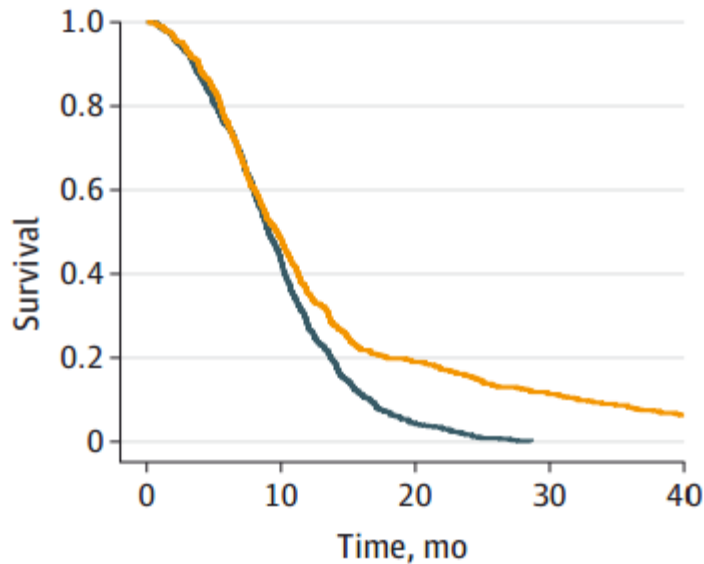


Example: cytotoxics



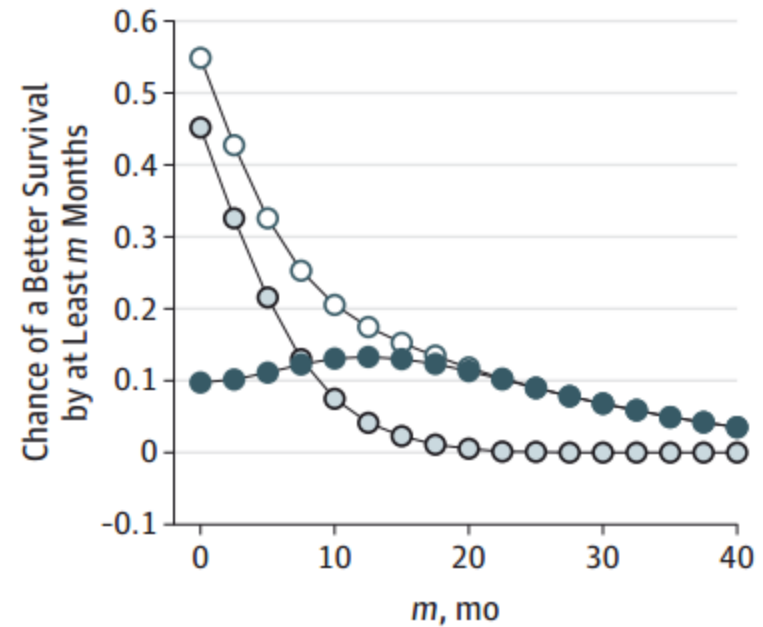
# Net benefit – delayed difference

**C** Scenario 3: delayed survival difference



No. at risk

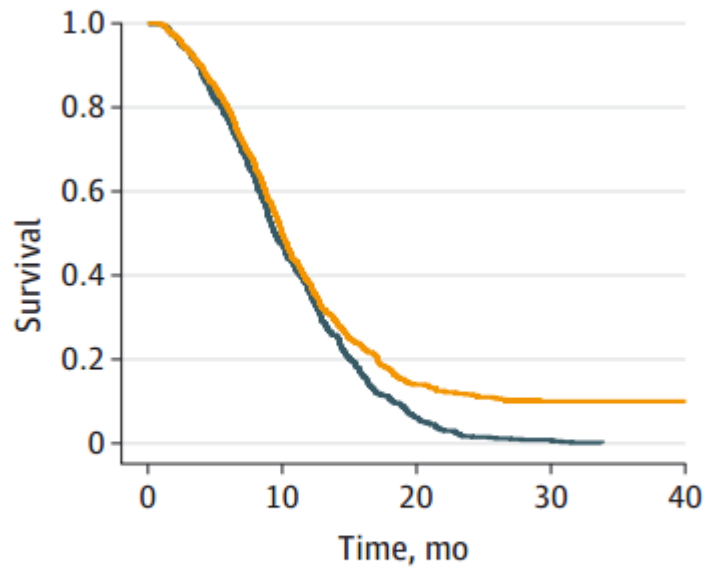
Group C	600	262	27	0	0
Group T	600	292	115	69	39



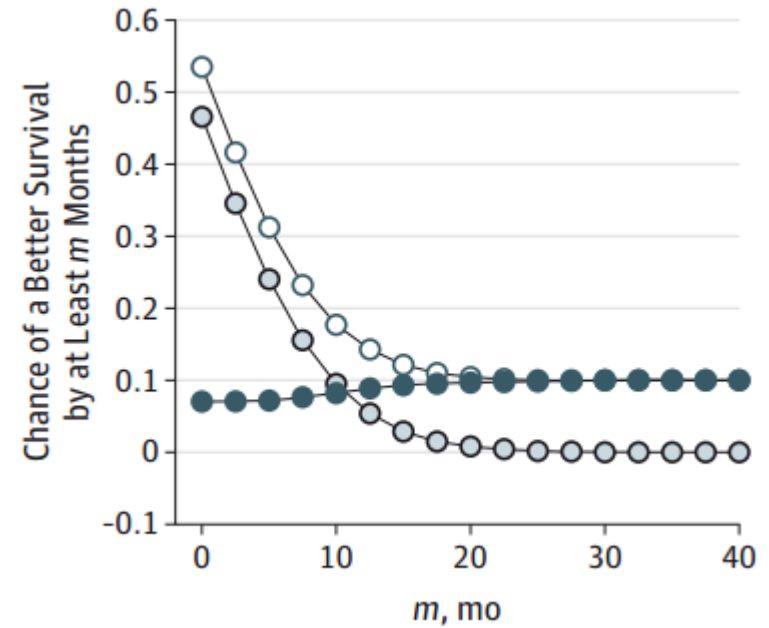
Example: immunotherapy for advanced solid tumors

# Net benefit – cure rate

**D** Scenario 4: curable disease



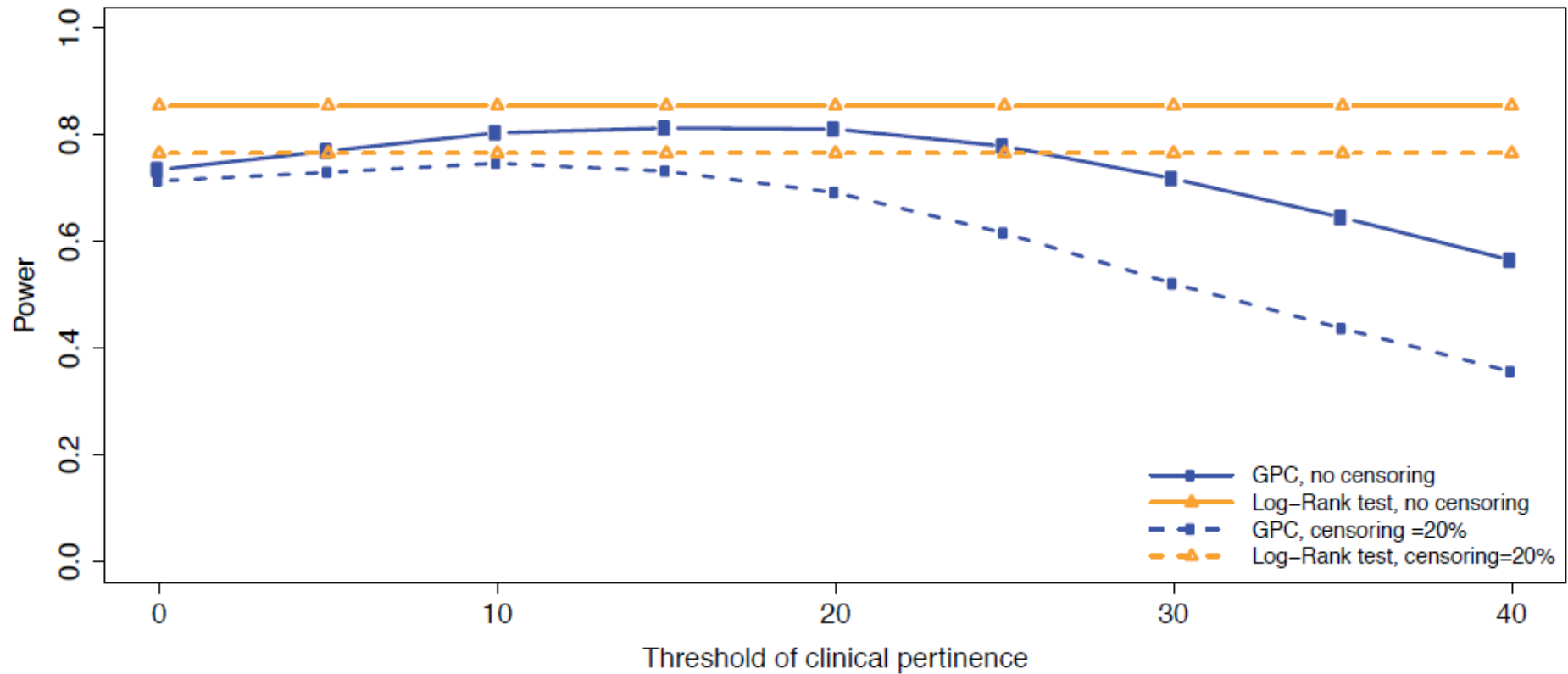
No. at risk				
Group C	600	285	38	5
Group T	600	301	85	61



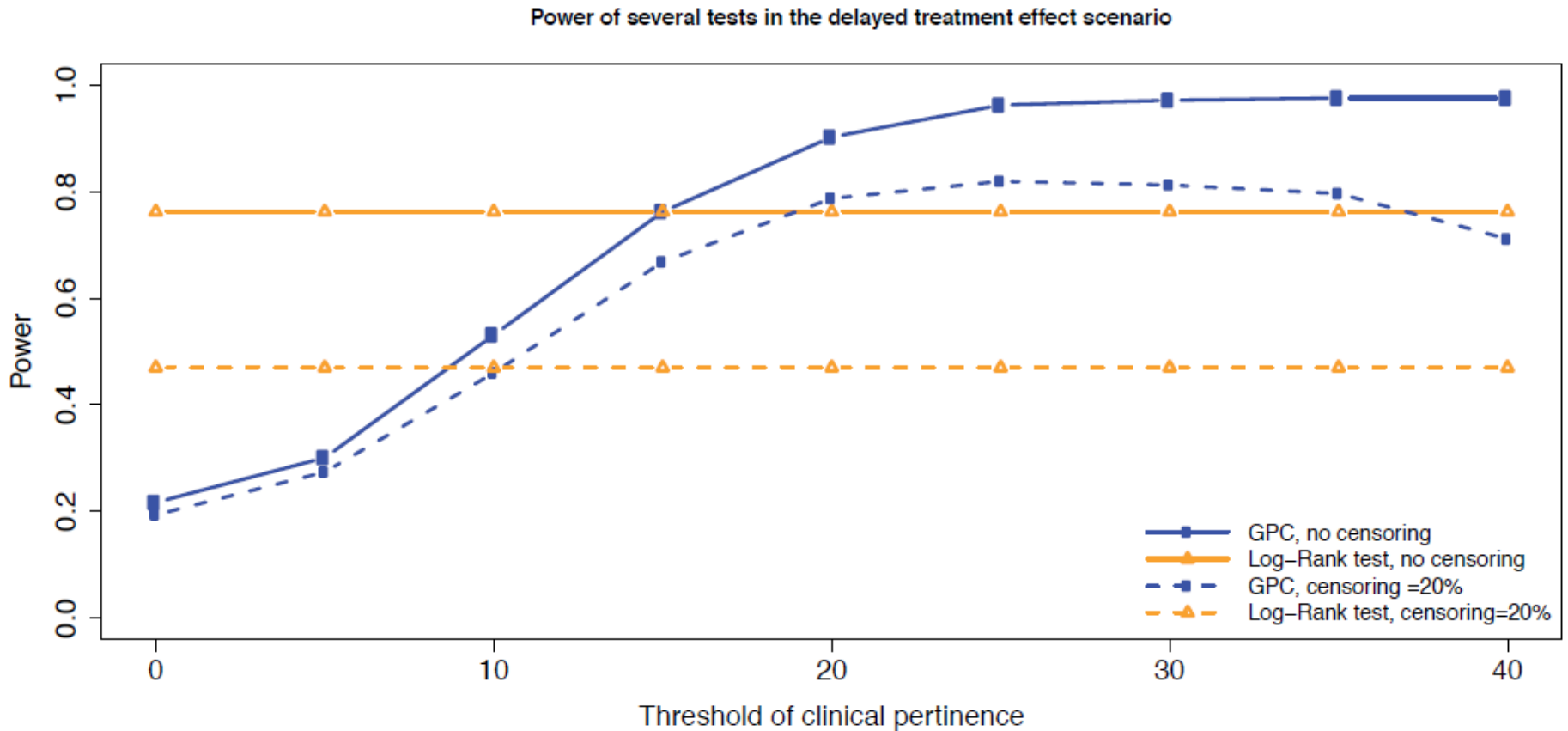
Example: allografts in childhood tumors

# Power – proportional hazards

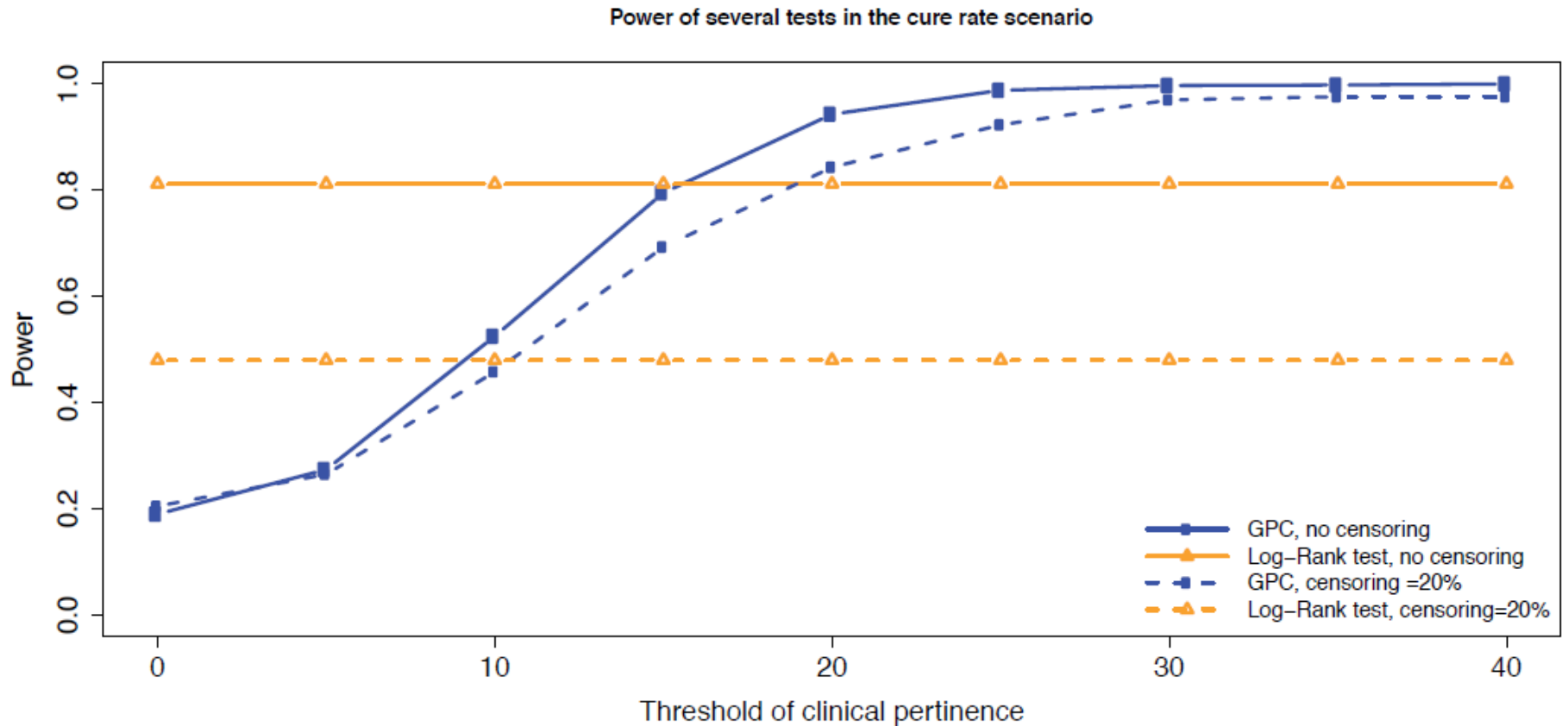
Power of several tests in the proportional hazards scenario



# Power – delayed difference



# Power – cure rate



# Closing remarks

- Assessing benefit/risk in an individualized manner is key to personalized medicine
  - Marginal (one outcome at a time) benefit/risk analyses ignore the correlation between the outcomes
  - GPCs account naturally for the correlation, but require prioritization of the outcomes

- GPCs are attractive
  - In terms of patient centricity:
    - They lead to the “net benefit”, a patient-relevant measure
    - They use prioritized outcomes (according to patient preferences)
  - In statistical terms:
    - They are equivalent to standard non-parametric tests in simple cases
    - They may have better power than the logrank test (for delayed treatment benefits)
    - They allow for testing of clinically relevant differences

# Thank You!



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